

EXHIBIT B
DOCKET SHEET
NO. 05-CR-1115
"WARE II"

ECF,PRIOR

**U.S. District Court
Southern District of New York (Foley Square)
CRIMINAL DOCKET FOR CASE #: 1:05-cr-01115-WHP-1**

Case title: USA v. Ware et al

Date Filed: 10/26/2005

Magistrate judge case number: 1:05-mj-01630-UA

Date Terminated: 10/30/2007

Assigned to: Judge William H. Pauley,
III

Appeals court case number: '07-1520-
cr' 'U.S.C.A. 2nd Circuit'

Defendant (1)**Ulysses Thomas Ware***TERMINATED: 10/30/2007**also known as**"Thomas Ware,"**TERMINATED: 10/30/2007*represented by **Isabelle A. Kirshner**

Clayman & Rosenberg

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LEAD ATTORNEY**ATTORNEY TO BE NOTICED***Designation: CJA Appointment***Michael Fred Bachner**

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LEAD ATTORNEY**ATTORNEY TO BE NOTICED***Designation: Retained***Thomas Ware**

6050 Peachtree Parkway

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Norcross, GA 30092

678-362-0226

LEAD ATTORNEY

ATTORNEY TO BE NOTICED*Designation: Retained***Pending Counts**

18:371.F CONSPIRACY TO
DEFRAUD THE UNITED STATES
(1s)

15:78J.F MANIPULATIVE AND
DECEPTIVE DEVICES
(2s)

Highest Offense Level (Opening)

Felony

Terminated Counts

CONSPIRACY TO DEFRAUD THE
UNITED STATES (CONSPIRACY TO
COMMIT SECURITIES AND WIRE
FRAUD)
(1)

MANIPULATIVE AND DECEPTIVE
DEVICES(15USC78j(b) AND 78ff:
SECURITIES FRAUD)
(2)

Highest Offense Level (Terminated)

Felony

Complaints

18:371:CONSPIRACY TO COMMIT
SECURITIES AND WIRE FRAUD;;
15:77q(a) & 77x: SECURITIES
FRAUD.

Disposition

Imprisonment for a total term of 60
Months on Count 1 and 97 months on
count 2 to run concurrently. Supervised
release for a term of 3 Years on both
counts.

Imprisonment for a total term of 60
Months on Count 1 and 97 months on
count 2 to run concurrently. Supervised
release for a term of 3 Years on both
counts.

Disposition

Underlying indictments and counts are
dismissed on the motion of the US

Underlying indictments and counts are
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Disposition**Plaintiff**

USA

represented by **Alexander H. Southwell**
U.S. Attorney's Office, SDNY (St
Andrew's)
One St. Andrew's Plaza

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Steven David Feldman
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ATTORNEY TO BE NOTICED

Date Filed	#	Docket Text
09/20/2005	1	COMPLAINT as to Ulysses Thomas Ware (1), Jeremy Jones (2) in violation of 18 U.S.C. 2, 371 and 15 U.S.C. 77q(a) & 77x. (Signed by Judge Andrew J. Peck) (gq,) [1:05-mj-01630-UA] (Entered: 09/27/2005)
09/26/2005		Arrest of Ulysses Thomas Ware. (gq,) [1:05-mj-01630-UA] (Entered: 09/27/2005)
09/26/2005		Attorney update in case as to Ulysses Thomas Ware. Attorney Isabelle A. Kirshner for Ulysses Thomas Ware added. (gq,) [1:05-mj-01630-UA] (Entered: 09/27/2005)
09/26/2005		Minute Entry for proceedings held before Judge Henry B. Pitman :Initial Appearance as to Ulysses Thomas Ware held on 9/26/2005. Deft appears with CJA atty Isabelle Kirshner (for Rule 5 only). AUSA Alexander Southwell present for the gov't. Agreed bail package: \$100,000 PRB. 1 FRP. Mother's home. Travel restricted to SDNY, EDNY, Georgia & intermediate District. Surrender travel documents (& no new applications). Regular pretrial supervision. Deft to be released upon following conditions: When he signs bond. Remaining conditions to be met by 9/30/05. Preliminary Examination set for 10/26/2005 10:00 AM before Judge Unassigned. (gq,) [1:05-mj-01630-UA] (Entered: 09/27/2005)
09/26/2005	4	PRB APPEARANCE Bond Entered as to Ulysses Thomas Ware in amount of \$100,000. Co-signed by one financially responsible person. Secured by mother's home. Travel restricted to SDNY, EDNY, Georgia & intermediate district. Surrender travel documents (& no new applications). Regular pretrial supervision. Deft to be released upon following conditions: When he signs bond. Remaining conditions to be met by 9/30/2005. (gq,) [1:05-mj-01630-UA] (Entered: 09/27/2005)
09/26/2005	5	ADVICE OF PENALTIES AND SANCTIONS as to Ulysses Thomas Ware. (gq,) [1:05-mj-01630-UA] (Entered: 09/27/2005)
09/26/2005	6	Arrest Warrant Returned Executed on 9/26/05 as to Ulysses Thomas Ware. (vb,) [1:05-mj-01630-UA] (Entered: 10/26/2005)

10/26/2005	7	INDICTMENT FILED as to Ulysses Thomas Ware (1) count(s) 1, 2, Jeremy Jones (2) count(s) 1, 2. (jm,) (Entered: 10/26/2005)
10/26/2005		Case Designated ECF as to Ulysses Thomas Ware, Jeremy Jones.(jm,) (Entered: 10/26/2005)
11/01/2005		Minute Entry for proceedings held before Judge William H. Pauley III:Arraignment as to Ulysses Thomas Ware (1) Count 1,2 held on 11/1/2005. Deft present w/atty Lawrence Ruggerio. CJA on duty. AUSA Alexander Southwell present. Court Reporter present. Deft arraigned on the indictment and pled not guilty. Next conference 11/3/2005 at 10:45 a.m. SPT excluded from 11/1/2005 to 11/3/2005. Bail continued. (jp,) (Entered: 11/21/2005)
11/01/2005		Minute Entry for proceedings held before Judge William H. Pauley III: Plea entered by Ulysses Thomas Ware (1) Count 1,2 Not Guilty. (jp,) (Entered: 11/21/2005)
11/03/2005	9	NOTICE OF ATTORNEY APPEARANCE: Michael Fred Bachner appearing for Ulysses Thomas Ware. (bw,) (Entered: 11/04/2005)
11/03/2005		Minute Entry for proceedings held before Judge William H. Pauley III:Pretrial Conference as to Ulysses Thomas Ware, Jeremy Jones held on 11/3/2005. Deft Ware present w/atty Michael Bachner. Deft Jones not present. Deft Jones' atty Marlon Kirton present. AUSA Alexander Southwell present. Court Reporter present. Government requests a warrant be issued for deft Jones. Next conference 1/6/2006 at 12:30 p.m. for deft Ware. As to deft Ware, SPT excluded from 11/3/2005 to 1/6/2006. Bail continued. (jp,) (Entered: 11/21/2005)
11/03/2005		Set/Reset Hearings as to Ulysses Thomas Ware: Pretrial Conference set for 1/6/2006 12:30 PM before Judge William H. Pauley III..(jp,) (Entered: 11/21/2005)
01/06/2006		Minute Entry for proceedings held before Judge William H. Pauley III:Pretrial Conference as to Ulysses Thomas Ware, Jeremy Jones held on 1/6/2006 Deft. Ware pres. w/atty Michael Bachner & Deft. Jones pres. w/atty Marlon Kirton & AUSA Alexander Southwell. Motion due 4/7/06, Reply 5/8/06. Next conf. 5/19/06 @ 2:15 PM. Jury selection set for 9/18/06 @ 10 AM. SPT excluded from 1/6/06 to 9/18/06 under 18:3161(h)(8)(A), bail cont'd. (Court Reporter Carol Stanley) (pr,) (Entered: 01/09/2006)
01/06/2006		Set/Reset Hearings as to Ulysses Thomas Ware, Jeremy Jones: Jury Selection set for 9/18/2006 10:00AM before Judge William H. Pauley III. Next Pretrial Conference set for 5/19/2006 02:15 PM before Judge William H. Pauley III.. (pr,) (Entered: 01/09/2006)
01/06/2006		Set/Reset Deadlines as to Ulysses Thomas Ware, Jeremy Jones: Motions due by 4/7/2006. Replies due by 5/8/2006..(pr,) (Entered: 01/09/2006)
04/18/2006		***REJECTION OF ATTEMPTED PAPER FILING IN ECF CASE. The following document(s) Notice of Motion and Memo of law in Support of Pretrial Motions of Defendant Thomas Ware by Danielle Attias, Esq, as to Ulysses Thomas Ware, was rejected by the Clerk's Office and must be FILED

		ELECTRONICALLY on the Court's ECF System. (rdz,) (Entered: 04/18/2006)
04/19/2006	15	MOTION for Discovery ; <i>bill of particulars and to dismiss for lack of venue..</i> Document filed by Ulysses Thomas Ware. (Bachner, Michael) (Entered: 04/19/2006)
05/05/2006	16	MEMORANDUM in Opposition by USA as to Ulysses Thomas Ware re 15 MOTION for Discovery ; <i>bill of particulars and to dismiss for lack of venue...</i> (Southwell, Alexander) (Entered: 05/05/2006)
05/19/2006		Minute Entry for proceedings held before Judge William H. Pauley III:Pretrial Conference as to Ulysses Thomas Ware, Jeremy Jones held on 5/19/2006. Deft. Ware pres. w/atty MichaelBachner; deft. Jones pres w/atty Marlon Kirton; AUSA Alexander Southwell. 404b motions due 45 days prior to jury selection. Motions in limine due 8/11/06, opposition due 8/22/06, replies due 8/29/06. Proposed voir dire and joint request to charge due 9/8/06. Next. conference 9/12/06 @ 2:15 pm. Jury selection set for 9/18/06 @ 9:45 am. SPT excluded from 5/19/06 to 9/18/06 under 18:3161(h)(8)(A), bail cont'd. (Court Reporter Sonya Huggins) (pr,) (Entered: 06/01/2006)
05/19/2006		ORAL ORDER as to Ulysses Thomas Ware, Jeremy Jones.In limine Motions due by 8/11/2006. Replies due by 8/29/2006. Opposition due by 8/22/2006. 404b motions due 45 days prior to jury selection. Proposed voir dire & joint request to charge due 9/8/06. (by Judge William H. Pauley III on 5/19/06)(pr,) (Entered: 06/01/2006)
05/19/2006		ORAL ORDER as to Ulysses Thomas Ware, Jeremy Jones. Jury Selection set for 9/18/2006 09:45AM before Judge William H. Pauley III. Pretrial Conference set for 9/12/2006 02:15 PM before Judge William H. Pauley III.. (by Judge William H. Pauley III on 5/19/06)(pr,) (Entered: 06/01/2006)
05/19/2006		ORAL ORDER as to Ulysses Thomas Ware, Jeremy Jones Time excluded from 5/19/06 until 9/18/06.(by Judge William H. Pauley III on 5/19/06)(pr,) (Entered: 06/01/2006)
06/23/2006	17	TRANSCRIPT of Proceedings (Conference) as to Ulysses Thomas Ware, Jeremy Jones held on 5/19/06 before Judge William H. Pauley III. (ja,) (Entered: 06/23/2006)
08/29/2006		Minute Entry for proceedings held before Judge William H. Pauley III:Pretrial Conference as to Ulysses Thomas Ware, Jeremy Jones held on 8/29/2006. Deft Ware present (on telephone) w/atty Michael Bachner, Esq. physically present. Deft Jeremy Jones present (on telephone) w/atty Marlon Kirton, Esq. physically present. AUSA present Alexandr Southwell, Court Reporter present Patricia Nielsen. 404b motions due 9/20/06. Motions in limine due 9/29/06, opposition due 10/11/06, replies due 10/18/06. Proposed voir dire and joint request to charge due 10/30/06. FPTC conference 11/3/06 at 2:00 p.m. Jury selection set for 11/6/06 at 9:45 a.m. SPT excluded from 8/29/06 to 9/18/06 under 18 U.S.C. 3161(h)(8)(A). Bail continued. (bw,) (Entered: 09/12/2006)
08/29/2006		ORAL ORDER as to Ulysses Thomas Ware, Jeremy Jones. Time excluded from 8/29/06 until 9/18/06. Brief (404b motions) due by 9/20/2006. Motions in

		limine due by 9/29/2006. Responses due by 10/11/2006. Replies due by 10/18/2006. Jury Selection set for 11/6/2006 09:45AM before Judge William H. Pauley III. Pretrial Conference set for 11/3/2006 02:00 PM before Judge William H. Pauley III.(bw,) (Entered: 09/12/2006)
09/08/2006	18	TRANSCRIPT of Proceedings as to Ulysses Thomas Ware, Jeremy Jones held on 8/29/06 before Judge William H. Pauley III. (ja,) (Entered: 09/08/2006)
09/14/2006	19	(S1) SUPERSEDING INDICTMENT FILED as to Ulysses Thomas Ware (1) count(s) 1s, 2s, Jeremy Jones (2) count(s) 1s, 2s. (jar,) (Entered: 09/18/2006)
10/04/2006	21	NOTICE OF ATTORNEY APPEARANCE: Defendant Ulysses Thomas Ware appearing Pro Se. The deft is an attorney in good standing in the state of Georgia bar #737758. (bw,) (Entered: 10/06/2006)
10/04/2006		Minute Entry for proceedings held before Judge William H. Pauley III:Arraignment as to Ulysses Thomas Ware (1) Count 1s,2s held on 10/4/2006. Deft present with atty Michael Bachner, Esq; AUSA present Alexander Southwell and Nicholas Goldin, Court Reporter present Denise Richards. Deft Ware arraigned on Superseding indictment and pleads not guilty. FPTC 11/3/2006 at 2:00pm. Jury Selection for 11/6/2006 at 9:45am. Bail continued. (jar,) (Entered: 10/16/2006)
10/04/2006		ORAL ORDER as to Ulysses Thomas Ware, as to Ulysses Thomas Ware Jury Selection set for 11/6/2006 09:45AM before Judge William H. Pauley III. Final Pretrial Conference set for 11/3/2006 02:00 PM before Judge William H. Pauley III. (Signed by Judge William H. Pauley III on 10/4/2006)(jar,) Modified on 10/16/2006 (jar,). (Entered: 10/16/2006)
10/10/2006	22	ORDER as to Ulysses Thomas Ware. The parties are directed to appear for a status conference on 10/16/06 @ 3:00 PM. So Ordered (Signed by Judge William H. Pauley III on 10/10/06)(pr,) (Entered: 10/11/2006)
10/10/2006		Set/Reset Hearings as to Ulysses Thomas Ware: Status Conference set for 10/16/2006 03:00 PM before Judge William H. Pauley III..(pr,) (Entered: 10/11/2006)
10/16/2006		Minute Entry for proceedings held before Judge William H. Pauley III:Pretrial Conference as to Ulysses Thomas Ware held on 10/16/2006. Dft Ulysses Thomas Ware pres w/atty Danielle Attias on behalf of Michael Bachner, Esq., AUSA Nicholas Goldin pres, CJA on duty Gary Becker pres, and Crt rptpr pres. Jury Selection set for 1/16/2007 09:45AM before Judge William H. Pauley III. Next Pretrial Conference set for 11/17/2006 02:00 PM before Judge William H. Pauley III. T/E from 10/16/06 to 1/16/07 in the IOJ. Bail cont'd.(Court Reporter Steven Greenblum) (ja,) (Entered: 10/26/2006)
11/06/2006	25	TRANSCRIPT of Proceedings as to Ulysses Thomas Ware held on 10/4/2006 before Judge William H. Pauley III. (jar,) (Entered: 11/06/2006)
11/07/2006	26	TRANSCRIPT of Proceedings as to Ulysses Thomas Ware held on 10/4/06 before Judge William H. Pauley III. (tro,) (Entered: 11/07/2006)
11/17/2006		Minute Entry for proceedings held before Judge William H. Pauley III:Pretrial Conference as to Ulysses Thomas Ware held on 11/17/2006. Deft Ware present

		w/atty Danielle Attias on behalf of Michael Bachner, Esq. AUSA present Alexander Southwell and Nicholas Goldin. CJA Gary Becker present, Court Reporter present Steven Greenblum. Motions to dismiss due by 12/1/06, Govt response due 12/15/06, any reply due 12/27/06. FPTC conference 1/12/07 at 2:00 p.m. Jury selection now set for 1/16/07 at 9:45 a.m. SPT excluded from 11/17/06 to 1/16/07 under 18 U.S.C. 3161(h)(8)(A). Bail continued. (bw,) (Entered: 12/27/2006)
11/17/2006		ORAL ORDER as to Ulysses Thomas Ware. Time excluded from 11/17/06 until 1/16/07. Jury Trial set for 1/16/2007 09:45 AM before Judge William H. Pauley III. Pretrial Conference set for 1/12/2007 02:00 PM before Judge William H. Pauley III.(bw,) (Entered: 12/27/2006)
11/22/2006	27	TRANSCRIPT of Proceedings as to Ulysses Thomas Ware held on 10/16/06 before Judge William H. Pauley III. (jw,) (Entered: 11/22/2006)
11/28/2006	28	MOTION in Limine. Document filed by USA as to Ulysses Thomas Ware. (Attachments: # 1 # 2 Exhibit to memorandum of law)(Southwell, Alexander) (Entered: 11/28/2006)
12/12/2006	29	ORDER as to Ulysses Thomas Ware., as to Ulysses Thomas Ware;Government Responses due by 12/4/2006. On December 1, 2006 this Court received a letter application from the Deft requesting an adjournment of the January 16, 2007 trial date. Deft alleges the Government failed to produce requested discovery. The Government responded on December 4, 2006 submitting that such requests were answered in a timely manner and objecting to any adjournment of the trial date. This Court has reviewed the submission by the parties and the Deft's application for an adjournment of the January 16, 2007 trial date is denied at this time. (Signed by Judge William H. Pauley III on 12/11/06)(jw,) (Entered: 12/13/2006)
12/22/2006	30	ORDER as to Ulysses Thomas Ware. The Defendant's application for an adjournment of the 1/16/2007 trial date is again denied. The parties are directed to appear for a pre-trial conference on 1/3/2007 at 12:30pm in courtroom 11D. SO ORDERED. (Signed by Judge William H. Pauley III on 12/22/2006)(jar,) (Entered: 12/26/2006)
12/22/2006		Set/Reset Deadlines/Hearings as to Ulysses Thomas Ware: Ready for Trial by 1/16/2007. Pretrial Conference set for 1/3/2007 12:30 PM before Judge William H. Pauley III.(jar,) (Entered: 12/26/2006)
01/03/2007	31	MOTION to Suppress all Evidence. Document filed by Ulysses Thomas Ware. (jw,) (Entered: 01/04/2007)
01/03/2007	32	MEMORANDUM in Support by Ulysses Thomas Ware re 31 MOTION to Suppress Evidence (jw,) (Entered: 01/04/2007)
01/04/2007	33	MEMORANDUM in Opposition by USA as to Ulysses Thomas Ware re 31 MOTION to Suppress.. (Southwell, Alexander) (Entered: 01/04/2007)
01/05/2007	40	DEFT THOMAS WARE'S MEMORANDUM OF LAW IN RESPONSE TO THE GOVERNMENT'S REPLY BRIEF IN OPPOSITION TO HIS to Motion

		by Ulysses Thomas Ware re 31 MOTION to Suppress Evidence (jw,) (Entered: 01/10/2007)
01/08/2007	34	NOTICE OF ATTORNEY APPEARANCE Steven David Feldman appearing for USA. (Feldman, Steven) (Entered: 01/08/2007)
01/08/2007	35	ORDER as to Ulysses Thomas Ware., as to Ulysses Thomas Ware; Pretrial Conference set for 1/12/2007 02:00 PM before Judge William H. Pauley III. Finally, the Government seeks to admit Certified Public Documents as self-authenticating under Rule 902(4) and as business records under Rule 803(6). If these documents are indeed certified public records or business records maintained in the normal course, they are admissible provided that they are relevant. The balance of the parties respective applications are denied. A final pre-trial conference is scheduled for January 12, 2007 at 2:00pm. (Signed by Judge William H. Pauley III on 1/8/07)(jw,) (Entered: 01/09/2007)
01/09/2007	36	PROPOSED EXAMINATION OF JURORS by USA as to Ulysses Thomas Ware, Jeremy Jones. (Feldman, Steven) (Entered: 01/09/2007)
01/09/2007	37	Certificate of Service of 36 Proposed Examination of Jurors by USA as to Ulysses Thomas Ware. Document was served on Ulysses Thomas Ware, Esq., pro se on 01-09-2007. Service was made by MAIL. (Feldman, Steven) (Entered: 01/09/2007)
01/09/2007	38	Certificate of Service of 36 Proposed Examination of Jurors by USA as to Ulysses Thomas Ware. Document was served on Gary Becker, Esq. on 01-09-2007. Service was made by facsimile. (Feldman, Steven) (Entered: 01/09/2007)
01/09/2007	39	ENDORSED LETTER as to Ulysses Thomas Ware addressed to Judge Pauley from Thomas Ware dated 1/9/07 re: Application for a 24 hour continuance for the submission for the defenses portion of the Jt. Requests to Charge to 1/10/07. Application granted, but the deft is directed to continue to cooperate with the govt. concerning the joint submissions. So Ordered (Signed by Judge William H. Pauley III on 1/9/07)(pr,) (Entered: 01/10/2007)
01/11/2007	41	Request To Charge by Ulysses Thomas Ware, USA as to Ulysses Thomas Ware. (Attachments: # 1 Exhibit A)(Southwell, Alexander) (Entered: 01/11/2007)
01/19/2007	42	ORDER as to Ulysses Thomas Ware. Beginning 1/16/07 and continuing until the conclusion of the criminal jury trial in this action, Gary G. Becker, Esq., is authorized to carry his cellular phone into the US Courthouse and need not check it with Court Security Officers. The cell phone is to be turned off while Mr. Becker is in courtroom 11D. So ordered (Signed by Judge William H. Pauley III on 1/12/07)(pr) (Entered: 01/22/2007)
01/23/2007	43	TRANSCRIPT of Proceedings as to Ulysses Thomas Ware held on 11/17/06 before Judge William H. Pauley III. (tro) (Entered: 01/23/2007)
01/23/2007	44	TRANSCRIPT of Proceedings as to Ulysses Thomas Ware held on 1/5/07 before Judge William H. Pauley III. (tro) (Entered: 01/23/2007)
01/23/2007	45	ORDER as to Ulysses Thomas Ware - it is hereby ORDERED that Emory Crawford Long Hospital disclose immediately to the USA's Office for the

		SDNY for its current patient, Jeremy Jones, copies of his medical records for treatment that commenced on or about 1/21/07, as well as provide oral disclosure of the patient's present medical condition and prognosis, and an affidavit setting forth his current diagnosis and prognosis from his treating physician. SO ORDERED. (Signed by Judge William H. Pauley III on 1/23/07) (ja) (Entered: 01/24/2007)
02/08/2007	46	TRANSCRIPT of Proceedings as to Ulysses Thomas Ware held on 1/12/07 before Judge William H. Pauley III. (tro) (Entered: 02/08/2007)
02/16/2007		Minute Entry for proceedings held before Judge William H. Pauley III: Pretrial Conference as to Ulysses Thomas Ware held on 2/16/2007; Jury Selection set for 4/16/2007 09:45AM before Judge William H. Pauley III. Deft Ware present with CJA standby counsel Gary Becker, AUSAs present Alexander Southwell and Steven Feldman, Court reporter present Vincent Bologna. Parties are directed to notify the Court of any new issues by 4/2/07, Jury selection now set for 4/16/07 at 9:45am. SPT excluded from 2/16/07 to 4/16/07 under 18 USC 3161(h)(8)(A), Bail continued. (jw) (Entered: 02/27/2007)
03/05/2007	47	TRANSCRIPT of Proceedings as to Ulysses Thomas Ware held on 1/3/2007 before Judge William H. Pauley III. (jsa) (Entered: 03/05/2007)
03/09/2007	48	TRANSCRIPT of Proceedings as to Ulysses Thomas Ware held on 01/16,17,18,22,23/07 before Judge William H. Pauley III. (es) (Entered: 03/09/2007)
04/03/2007	49	MEMORANDUM OF LAW to the Deft's First Motion to Dismiss the Indictment with prejudice on Double Jeopardy grounds by Ulysses Thomas Ware. (jar) (Entered: 04/05/2007)
04/10/2007	50	MEMORANDUM in Opposition by USA as to Ulysses Thomas Ware re 15 MOTION for Discovery ; <i>bill of particulars and to dismiss for lack of venue... Opposition to Motion to Dismiss based on Double Jeopardy filed April 2, 2007</i> (Southwell, Alexander) (Entered: 04/10/2007)
04/11/2007		***REJECTION OF ATTEMPTED PAPER FILING IN ECF CASE. The following document(s) Defendant Thomas Ware's Memeorandum of Law in Response to the Government's Brief in Opposition to the Defendant's Motion to Dismiss the Indictment with Prejudice on Double Jeopardy Grounds by Thomas Ware, as to Ulysses Thomas Ware, was rejected by the Clerk's Office and must be FILED ELECTRONICALLY on the Court's ECF System. (tve) (Entered: 04/18/2007)
04/12/2007	51	ORDER as to Ulysses Thomas Ware. Deft filed a motion to dismiss the Superceding Indictment dated September 14, 2006 on double jeopardy grounds. Thus, the Double Jeopardy Clause is inapplicable, and Deft's motion to dismiss the Indictment is denied. The parties are directed to appear for jury selection on April 16, 2007 at 9:45am. SO ORDERED. (Signed by Judge William H. Pauley III on 4/11/07)(jw) (Entered: 04/13/2007)
04/13/2007	53	NOTICE OF APPEAL (Interlocutory) by Ulysses Thomas Ware from 51 Order. Filing fee \$ 455.00, receipt number E 611670. (tp) (Entered: 04/20/2007)

04/13/2007		Transmission of Notice of Appeal and Certified Copy of Docket Sheet as to Ulysses Thomas Ware to US Court of Appeals re: 53 Notice of Appeal - Interlocutory. (tp) (Entered: 04/20/2007)
04/17/2007	52	ORDER as to Ulysses Thomas Ware. Beginning 4/17/07 and continuing until the conclusion of the criminal jury trial in this action, Gary G. Becker, Esq., is authorized to carry his cellular phone into the U.S. Courthouse and need not check it with Court Security Officers. The cell phone is to be turned off while Mr. Becker is in Courtroom 11D. (Signed by Judge William H. Pauley III on 4/17/07)(bw) (Entered: 04/18/2007)
04/17/2007		Minute Entry for proceedings held before Judge William H. Pauley III:Pretrial Conference as to Ulysses Thomas Ware held on 4/17/2007Deft pres w/atty Gary Becker; AUSAs Alexander Southwell, Steven Feldman. (pr) (Entered: 11/01/2007)
04/17/2007		Minute Entry for proceedings held before Judge William H. Pauley III:Voir Dire begun on 4/17/2007 Ulysses Thomas Ware (1) on Count 1s,2s. Jury panel present and sworn, jury selection begins. (pr) (Entered: 11/01/2007)
04/17/2007		Minute Entry for proceedings held before Judge William H. Pauley III:Voir Dire held on 4/17/2007 as to Ulysses Thomas Ware (pr) (Entered: 11/01/2007)
04/17/2007	0	Jury Impaneled as to Ulysses Thomas Ware. Jury empaneled and sworn. The court instructs the jury. (pr) (Entered: 11/01/2007)
04/18/2007		Minute Entry for proceedings held before Judge William H. Pauley III:Jury Trial as to Ulysses Thomas Ware held on 4/18/2007. Court instructs the jury, govt's opening statements; deft's opening statements; Govt case goes forward. (pr) (Entered: 11/01/2007)
04/19/2007		Minute Entry for proceedings held before Judge William H. Pauley III:Jury Trial as to Ulysses Thomas Ware held on 4/19/2007. Trial cont'd (pr) (Entered: 11/01/2007)
04/20/2007		Appeal Record Sent to USCA (Electronic File). Certified Indexed record on Appeal Electronic Files as to Ulysses Thomas Ware re: 19 Indictment, 53 Notice of Appeal - Interlocutory, 39 Endorsed Letter, 45 Order,, 30 Order, 51 Order, 29 Order, Set Deadlines/Hearings,,,, 52 Order, 42 Order, 37 Certificate of Service, 36 Proposed Examination of Jurors, 15 MOTION for Discovery ; <i>bill of particulars and to dismiss for lack of venue.</i> , 38 Certificate of Service, 28 MOTION in Limine., 34 Notice of Attorney Appearance - USA, 35 Order, Set Deadlines/Hearings,,,, 16 Memorandum in Opposition to Motion, 7 Indictment, 41 Request to Charge, 22 Order, 50 Memorandum in Opposition to Motion, 33 Memorandum in Opposition to Motion, 9 Notice of Attorney Appearance - Defendant USCA Case Number 07-1520-cr, were transmitted to the U.S. Court of Appeals. (tp) (Entered: 04/20/2007)
04/20/2007		USCA Case Number 07-1520-cr from the U.S.C.A. 2nd Circuit as to Ulysses Thomas Ware, assigned to 53 Notice of Appeal - Interlocutory filed by Ulysses Thomas Ware. (tp) (Entered: 04/20/2007)
04/20/2007		

		USCA SCHEDULING ORDER as to Ulysses Thomas Ware related to 53 Notice of Appeal - Interlocutory filed by Ulysses Thomas Ware, USCA Case Number 07-1520-cr. Thomas Asreen, Acting Clerk USCA. Certified: 4/19/07. Appeal Record due by 5/9/2007. (tp) (Entered: 04/20/2007)
04/23/2007		Minute Entry for proceedings held before Judge William H. Pauley III:Jury Trial as to Ulysses Thomas Ware held on 4/23/2007. Conference with parties. Trial cont'd. (pr) (Entered: 11/01/2007)
04/24/2007		Minute Entry for proceedings held before Judge William H. Pauley III:Jury Trial as to Ulysses Thomas Ware held on 4/24/2007. Conference with parties. trial cont'd. (pr) (Entered: 11/01/2007)
04/25/2007		Minute Entry for proceedings held before Judge William H. Pauley III:Jury Trial as to Ulysses Thomas Ware held on 4/25/2007. Conference with parties held. Trial cont'd. Charge conference held. (pr) (Entered: 11/01/2007)
04/26/2007		Minute Entry for proceedings held before Judge William H. Pauley III:Jury Trial as to Ulysses Thomas Ware held on 4/26/2007. Govt summation; Deft's summation; Govt rebuttal summation; Conference with attys.. (pr) (Entered: 11/01/2007)
04/27/2007		Minute Entry for proceedings held before Judge William H. Pauley III:Jury Trial as to Ulysses Thomas Ware held on 4/27/2007. Jury charge; Jury deliberations. (pr) (Entered: 11/01/2007)
04/30/2007		Minute Entry for proceedings held before Judge William H. Pauley III:Jury Trial as to Ulysses Thomas Ware held on 4/30/2007. Jury deliberations, Jury verdict. guilty on both counts (pr) (Entered: 11/01/2007)
04/30/2007		JURY VERDICT as to Ulysses Thomas Ware (1) Guilty on Count 1s,2s. (pr) (Entered: 11/01/2007)
05/04/2007	54	ORDER as to Ulysses Thomas Ware... on 4/30/07, it is ORDERED that dft Ware's bail be modified as follows: bail increased to a \$200,000.00 PRB; secured by the dft's mother home; dft to re-execute the PRB, which is to be co-signed by 2 FRPs; dft to provide additional security by posting \$25,000 w/the Clerk of Crt by 5/7/07; travel restricted to SDNY, EDNY and the state of Georgia; surrender travel documents and no new applicatons; supervision to include that dft report two times per week in person to probation in Atlanta, Georgia; real estate security condition must be met by 5/14/07. (Signed by Judge William H. Pauley III on 5/4/07)(ja) (Entered: 05/07/2007)
05/08/2007	58	Appearance Bond Entered as to Ulysses Thomas Ware. Bond modified by USDJ William Pauley on 5/4/07; bail increased to \$200,000 PRB; secured by the dft's home; dft is to re-execute the PRB; 2 FRPs; provide additional security by posting of \$25,000 with the Clerk of the Court by 5/7/07; travel restricted to SDNY/EDNY/Georgia; surrender travel documents (and no new applications): dft to report two (2) times per week in person to Probation in Atlanta, Georgia; the real estate security conditions must be met by 5/14/07. (ja) (Entered: 06/05/2007)
05/09/2007	56	

		ENDORSED LETTER as to Ulysses Thomas Ware addressed to Judge Pauley from Thomas Ware dated 5/5/07 re: Reschedule Hearing., as to Ulysses Thomas Ware; Pretrial Conference set for 5/14/2007 02:15 PM before Judge William H. Pauley III..JUDGE MEMO-ENDORSEMENT...Application Granted. Hearing adjourned to 5/14/07 at 2:15pm. SO ORDERED. (Signed by Judge William H. Pauley III on 5/4/07)(jw) (Entered: 05/14/2007)
05/11/2007	55	ENDORSED LETTER as to Ulysses Thomas Ware, addressed to Judge Pauley, from Thomas Ware, Atty for dft, dated 5/11/07, re: requesting of the Court to issue an order deeming all conditions of the Order of 5/4/07 to be satisfied. -- Judge endorsed: Application Denied. The parties are directed to appear on 5/14/2007 02:15 PM before Judge William H. Pauley III. SO ORDERED. (Signed by Judge William H. Pauley III on 5/11/07)(ja) (Entered: 05/14/2007)
05/14/2007		Minute Entry for proceedings held before Judge William H. Pauley III:Bond Hearing as to Ulysses Thomas Ware held on 5/14/2007. Bail Hearing held. Deft Ware present w/CJA standby counsel Gary Becker. AUSAs present Alexander Southwell and Steven Feldman. Court Reporter present Steven Griffing. Deft is to satisfy all remaining conditions by 5/22/07. An additional hearing is scheduled for 5/22/07 at 2:15 p.m. Bail continued. (bw) (Entered: 05/22/2007)
05/21/2007	57	ENDORSED LETTER as to Ulysses Thomas Ware addressed to Judge Pauley from deft Thomas Ware dated 5/21/07 re: submitted in regards to the bond conditions set by the Court on 5/4/07. This correspondence is for an order to continue the status hearing set for 5/22/07 for 10 days until 6/5/07. ENDORSEMENT: Application Granted in part. Bail Hearing adjourned to May 31, 2007 at 2:15 PM. (Signed by Judge William H. Pauley III on 5/21/07) (bw) (Entered: 05/21/2007)
05/21/2007		Set/Reset Hearings as to Ulysses Thomas Ware: Bond Hearing set for 5/31/2007 02:15 PM before Judge William H. Pauley III. (bw) (Entered: 05/21/2007)
06/07/2007	59	ENDORSED LETTER as to Ulysses Thomas Ware addressed to Judge Pauley from Attorney Thomas Ware dated 6/4/07 re: submitted to request the Court to adjourn the hearing currently set for 6/6/07 until 6/19/07. ENDORSEMENT: Application Granted. Hearing adjourned to June 22, 2007 at 3:00 PM. (Signed by Judge William H. Pauley III on 6/7/07)(bw) (Entered: 06/08/2007)
06/07/2007		Set/Reset Hearings as to Ulysses Thomas Ware: Status Conference set for 6/22/2007 at 03:00 PM before Judge William H. Pauley III. (bw) (Entered: 06/08/2007)
06/22/2007	60	ORDER as to Ulysses Thomas Ware. Bail is modified as follows: Deft is required to post an additional \$25,000 with the Clerk of the Court by close of business on 6/29/07. All other conditions remain as previously set. (Signed by Judge William H. Pauley III on 6/22/07)(bw) (Entered: 06/25/2007)
06/26/2007	61	

		TRANSCRIPT of Proceedings as to Ulysses Thomas Ware held on 04/25,26,27,30/07 & 05/1,2/07 before Judge William H. Pauley III. (es) (Entered: 06/26/2007)
06/26/2007	62	TRANSCRIPT of Proceedings as to Ulysses Thomas Ware held on 04/17,18,19,20,23,24/07 before Judge William H. Pauley III. (es) (Entered: 06/26/2007)
06/27/2007	63	TRANSCRIPT of Proceedings as to Ulysses Thomas Ware held on 5/30/07 before Judge William H. Pauley III. (jbe) (Entered: 06/27/2007)
06/27/2007	64	TRANSCRIPT of Proceedings as to Ulysses Thomas Ware held on 5/30/07 before Judge William H. Pauley III. (jbe) (Entered: 06/27/2007)
06/28/2007	65	ENDORSED LETTER as to Ulysses Thomas Ware, addressed to Judge Pauley, from Thomas Ware, the dft, dated 6/26/07, re: application to modify your order of 6/22/07 as follows: 1) modify the amount of \$25,000 to be paid into the Crt's registry on 6/29/07 to the amount of \$8,810.00; or 2) modify the date of 6/29/07 to date of 7/24/07... -- Judge endorsed: Application DENIED. SO ORDERED. (Signed by Judge William H. Pauley III on 6/27/07)(ja) (Entered: 06/29/2007)
07/16/2007	66	ENDORSED LETTER as to Ulysses Thomas Ware addressed to Judge Pauley from Gary G. Becker dated 7/2/07 re: Reschedule Sentencing., as to Ulysses Thomas Ware; Sentencing set for 8/10/2007 at 02:30 PM before Judge William H. Pauley III..JUDGE MEMO-ENDORSEMENT...Application Granted. SO ORDERED. (Signed by Judge William H. Pauley III on 7/6/07)(jw) (Entered: 07/17/2007)
07/19/2007	67	MANDATE of USCA (certified copy) as to Ulysses Thomas Ware re: 53 Notice of Appeal - Interlocutory. USCA Case Number 07-1520-cr. It is hereby ORDERED that the Appellant's motion to withdraw the appeal is GRANTED. Catherine O'Hagan Wolfe, Clerk USCA. Issued As Mandate: 7/18/07. (bw) (Entered: 07/27/2007)
07/19/2007		Transmission of USCA Mandate/Order to the District Judge (Pauley, J.) on 7/27/07 re: 67 USCA Mandate - Final Judgment Appeal. (bw) (Entered: 07/27/2007)
08/01/2007	68	FIRST MOTION for Production of a Complete Presentencing Investigation Report. MOTION for adjournment of sentencing date of 8/10/07. Document filed by Ulysses Thomas Ware. (ja) (Entered: 08/02/2007)
08/01/2007	69	DECLARATION of Thomas Ware in Support as to Ulysses Thomas Ware re: 68 MOTION to Produce. MOTION adjournment of sentencing date of 8/10/07. (ja) (Entered: 08/02/2007)
08/01/2007	70	REPLY MEMORANDUM OF LAW in Opposition to the Government's Reply, by Ulysses Thomas Ware. (ja) (Entered: 08/02/2007)
08/02/2007	71	ENDORSED LETTER addressed to Judge William H. Pauley from Thomas Ware dated 7/23/2007 re: denying 68 Motion to Produce as to Ulysses Thomas Ware (1); granting 68 Motion for adjournment of sentencing date of 8/10/07 as to Ulysses Thomas Ware (1). Deft's application for an adjournment of

		sentencing is granted. Sentencing adjourned to 9/11/2007 at 3:00 p.m. The balance of dfts application is denied. (Signed by Judge William H. Pauley III on 7/30/2007) (jar) (Entered: 08/03/2007)
08/06/2007	72	SUPPLEMENTAL RESPONSE to the Government's Reply in Opposition for the Production of a Complete Pre-sentencing Investigation Report and for an Adjournment of the Sentencing Date of 8/10/0, by Ulysses Thomas Ware. (ja) (Entered: 08/07/2007)
08/07/2007	73	OBJECTION TO PRESENTENCE INVESTIGATION REPORT of 7/2/07 by Ulysses Thomas Ware. (ja) (Entered: 08/07/2007)
08/09/2007	74	TRANSCRIPT of Proceedings as to Ulysses Thomas Ware, Jeremy Jones held on 1/16/07 before Judge William H. Pauley III. (jbe) (Entered: 08/09/2007)
08/14/2007	75	MOTION for Hearing Government's Sentencing Submission . Document filed by USA as to Ulysses Thomas Ware. (Attachments: # 1 Exhibit 1 -- Proposed Forfeiture Order# 2 Exhibit 2 - INZS Victim List# 3 Exhibit 3 - SVSY Victim List# 4 Exhibit 4 - Final Order# 5 Exhibit 5 - Amended Final Order# 6 Exhibit 6 - 9th Cir. Memorandum# 7 Exhibit 7 - Victim Impact Statements)(Feldman, Steven) (Entered: 08/14/2007)
08/22/2007	76	MOTION for an Adjournment of the Sentencing Date of September 11, 2007. Document filed by Ulysses Thomas Ware, dated 8/20/07. (bw) (Entered: 08/24/2007)
08/23/2007	77	RESPONSE to the Government's Letter of 8/22/2007 in Opposition to the First Motion for an Adjournment of the Sentencing Date of 9/11/2007 by Ulysses Thomas Ware. (jar) (Entered: 08/28/2007)
09/04/2007	78	ORDER granting in part and denying in part 76 Motion for an Adjournment of the Sentencing Date of September 11, 2007. as to Ulysses Thomas Ware (1). The Dft's application for an adjournment of the 9/11/2007 sentencing date is granted in part. The parties are directed to appear for sentencing on 9/20/2007 at 3:00 pm in Courtroom 11D. The balance of dft's application is denied. (Signed by Judge William H. Pauley III on 8/30/2007) (jar) (Entered: 09/05/2007)
09/04/2007		Set/Reset Deadlines/Hearings as to Ulysses Thomas Ware: Sentencing set for 9/20/2007 at 03:00 PM in Courtroom 11D, 500 Pearl Street, New York, NY 10007 before Judge William H. Pauley III. (jar) (Entered: 09/05/2007)
09/06/2007	79	Thomas Ware's First MOTION and Memorandum of Law for an Adjournment of the Sentencing Date of September 11, 2007 until such time as the Government provides a sworn affidavit from each alleged victim stating under oath which paragraph of a particular Press Release was read prior to making an Investment Decision in either Investment Technology, Inc. or Service System International Inc. Document filed by Ulysses Thomas Ware, dated 9/4/07. (bw) (Entered: 09/07/2007)
09/06/2007	80	Thomas Ware's First MOTION and Memorandum of Law for the Definition of Victim to be Defined and Adjournment of the sentencing Hearing set for

		September 11, 2007. Document filed by Ulysses Thomas Ware, dated 9/4/07. (bw) (Entered: 09/07/2007)
09/17/2007	81	ORDER as to Ulysses Thomas Ware. The dft's application for an adjournment of the sentencing date is denied. The parties are directed to appear for sentencing on 9/20/2007 at 3:00 p.m. in Courtroom 11D. The balance of Dft's application is denied. (Signed by Judge William H. Pauley III on 9/17/2007) (jar) (Entered: 09/18/2007)
09/18/2007	82	SENTENCING MEMORANDUM by USA as to Ulysses Thomas Ware. (Attachments: # 1 Exhibit One - Bank Account Statements# 2 Exhibit Two -- Bank Account Statements# 3 Exhibit Three -- Bank Account Statements) (Feldman, Steven) (Entered: 09/18/2007)
09/19/2007	83	ORDER as to Ulysses Thomas Ware. On 9/19/2007 this Court received a fifth application from the Dft requesting another adjournment of his sentencing date. The Dft's application for an adjournment of the sentencing date is denied. The parties are directed to appear for sentencing on 9/20/2007 at 3:00 p.m. in Courtroom 11D. (Signed by Judge William H. Pauley III on 9/19/2007)(jar) (Entered: 09/20/2007)
09/21/2007	84	MOTION and Memorandum of Law to the Defendant's First Motion for Recusal of Judge William H. Pauley and Arrest of Alexander H. Southwell, Steven D. Feldman, Spencer Barasch, Michael F. Bachner, Jeffrey B. Norris, and Peter Lattman. Document filed by Ulysses Thomas Ware. (jar) (Entered: 09/21/2007)
09/24/2007	85	MEMORANDUM in Opposition by USA as to Ulysses Thomas Ware re 84 MOTION and Memorandum of Law to the Defendant's First Motion for Recusal of Judge William H. Pauley.. (Feldman, Steven) (Entered: 09/24/2007)
09/26/2007	86	SENTENCING MEMORANDUM by USA as to Ulysses Thomas Ware. (Feldman, Steven) (Entered: 09/26/2007)
09/27/2007	87	ENDORSED LETTER as to Ulysses Thomas Ware addressed to Judge Pauley from Thomas Ware dated 9/27/07 re: Requesting that the Court confirm with the government if the fax received on September 24, 2007 is the government's complete reply to the motion to recuse, and to notify the debt by order by close of business on September 27, 2007 of the same...ENDORSEMENT...Application Denied. SO ORDERED. (Signed by Judge William H. Pauley III on 9/27/07)(jw) (Entered: 09/28/2007)
10/05/2007	88	RESPONSE TO REPLY in Opposition to Motion by Ulysses Thomas Ware. re 84 MOTION and Memorandum of Law to the Defendant's First Motion for Recusal of Judge William H. Pauley. (jar) (Entered: 10/09/2007)
10/12/2007	89	MOTION and MEMORANDUM OF LAW by Ulysses Thomas Ware to the Defendant's First Motion for an Evidentiary Hearing for Recusal of Judge William H. Pauley, III. and the Indictment and Arrest of Alexander H. Southwell, Steven D. Feldman, Spencer Barasch, Michael F. Bachner, Jeffrey B. Norris, Nicholas S. Goldin and Peter Lattman. A Motion for a Fatico Hearing to determine the contested issues in the Government's Sentencing

		Memorandum written by Steven D. Feldman. Document filed by Ulysses Thomas Ware, dated 10/10/07. (bw) (Entered: 10/15/2007)
10/12/2007	90	RESPONSE by Ulysses Thomas Ware to the Government's Reply in Opposition to the motion and memorandum of law to the Defendant's First Motion for an Evidentiary Hearing for Recusal of Judge William H. Pauley, III. and the Indictment and Arrest of Alexander H. Southwell, Steven D. Feldman, Spencer Barasch, Michael F. Bachner, Jeffrey B. Norris, Nicholas S. Goldin and Peter Lattman. A Motion for a Fatico Hearing to determine the contested issues in the Government's Sentencing Memorandum written by Steven D. Feldman. Document filed by Ulysses Thomas Ware, dated 10/12/07. (bw) (Entered: 10/15/2007)
10/12/2007	92	Supplemental Response and Objection to the Government's Restitution and Victims Calculations by Ulysses Thomas Ware. (ja) (Entered: 10/15/2007)
10/15/2007	91	REPLY MEMORANDUM OF LAW in Opposition by USA as to Ulysses Thomas Ware re: 89 MOTION. <i>Last Minute Request for Fatico Hearing.</i> (Feldman, Steven) (Entered: 10/15/2007)
10/24/2007	95	DEFT'S THOMAS WARE RESPONSE IN OPPOSITION TO GOVT'S BRIEF IN FURTHER SUPPORT OF ITS REQUEST FOR RESTITUTION.. as to Ulysses Thomas Ware. (pr) (Entered: 10/30/2007)
10/26/2007	93	REPLY MEMORANDUM OF LAW by USA as to Ulysses Thomas Ware re: 92 Response filed by Ulysses Thomas Ware <i>In Further Support of Request for Restitution.</i> (Attachments: # 1 Exhibit One# 2 Exhibit Two)(Feldman, Steven) (Entered: 10/26/2007)
10/26/2007		Minute Entry for proceedings held before Judge William H. Pauley III:Sentencing held on 10/26/2007 for Ulysses Thomas Ware (1) Count 1s,2s. (jw) (Entered: 11/01/2007)
10/29/2007	94	MEMO ENDORSEMENT as to Ulysses Thomas Ware.On Deft's Thomas Ware's Application for an Immediate Order for an Extension purs to Federal Rule of Appellate Procedure 4(b)(4) for good cause for an extension of 30 days to file the Notice of Appeal. Application Denied. This court lacks jurisdiction to grant the requested relief. So Ordered.. (Signed by Judge William H. Pauley III on 10/29/07)(pr) (Entered: 10/30/2007)
10/30/2007		DISMISSAL OF COUNTS on Government Motion as to Ulysses Thomas Ware (1) Count 1,2. (jw) (Entered: 11/01/2007)
10/30/2007	96	FILED JUDGMENT IN A CRIMINAL CASE as to Ulysses Thomas Ware (1), Count(s) 1, 2, Underlying indictments and counts are dismissed on the motion of the US; Found guilty to Count(s) 1s, 2s, Imprisonment for a total term of 60 Months on Count 1 and 97 months on count 2 to run concurrently. Supervised release for a term of 3 Years on both counts. The Court makes the following recommendations to the Bureau of Prisons: The Court recommends the deft be designated to a facility closest to the Atlanta, Georgia Metropolitan area. The deft shall surrender for service of sentence at the institution designated by the Bureau of Prisons before 2pm on January 10, 2008. Special Assessment of \$200 which is due immediately. Fine of \$25,000. The defendant shall pay a

		fine payable to the Clerk, US District Court in the amount of \$25,000. The fine shall be paid by 1/10/08. The defendant shall forfeit to the US any and all property constituting or derived from any proceeds obtained from violations, including but not limited to a sum of money equal to \$228,388.00 in US currency, which represents the amount of proceeds obtained as a result of the offense. (Signed by Judge William H. Pauley III on 10/30/07)(jw) (Entered: 11/01/2007)
10/30/2007		Judgment entered in money judgment book as #07,2105 as to Ulysses Thomas Ware in the amount of \$ 25,200.00, re: 96 Judgment,. (ml) (Entered: 11/05/2007)
11/01/2007	97	ORDER OF FORFEITURE as to Ulysses Thomas Ware..., on or about 9/14/06, the dft was charged in a two-count Superseding Indictment.... the Indictment included a forfeiture allegation seeking, pursuant to 18 U.S.C. Sec. 981(a)(1)(c) and 28 U.S.C. Sec. 2461, any and all property, real and personal, that constitutes or is derived from proceeds traceable to the commission of the offense, including, but not limited to, a money judgment in the amount of \$300,000.00 in the U.S. currency; on or about 4/30/07, the dft was found guilty at a jury trial to all counts of the Indictment; the dft was sentenced on 10/26/07, pursuant to 18 U.S.C. Sec. 981(a)(1)(C) and 28 U.S.C. Sec. 2461, to a forfeiture money judgment in the amount of \$228,388.00 in U.S. currency, representing the amount of proceeds obtained as a result of the offense charged in the Indictment. NOW, THEREFORE, IT IS ORDERED, ADJUDGED AND DECREED THAT: 1) a money judgment in the amount of \$228,388 shall be entered against the dft; 2) this Order of Forfeiture shall be final as to dft Ulysses Thomas Ware....; 3) the Court shall retain jurisdiction to enforce this Order....; and 4) the Clerk shall forward certified copies to AUSA. SO ORDERED. (Signed by Judge William H. Pauley III on 10/31/07) The Clerk's Office Has Mailed Copies.(ja) (Entered: 11/02/2007)
11/01/2007	98	MEMO ENDORSEMENT on Defendant's Thomas Ware's Second Application for an Immediate Order for an Extension Pursuant to Federal Rule of Appellate Procedure 4(b)(4) for Good Cause for an Extension of 30 Days to file the Notice of Appeal. -- Judge endorsed: Dft's application for a extension of 30 days to file the Notice of Appeal is GRANTED. SO ORDERED. (Signed by Judge William H. Pauley III on 11/1/07)(ja) (Entered: 11/02/2007)
11/05/2007	99	TRANSCRIPT of Proceedings as to Ulysses Thomas Ware held on 10/12/2007 before Judge William H. Pauley III. (wb) (Entered: 11/05/2007)
11/06/2007	100	TRANSCRIPT of Proceedings as to Ulysses Thomas Ware held on 11/17/06 before Judge William H. Pauley III. (cd) (Entered: 11/06/2007)
11/09/2007	101	TRANSCRIPT of Proceedings as to Ulysses Thomas Ware held on 10/26/07 before Judge William H. Pauley III. (cd) (Entered: 11/09/2007)
11/21/2007	102	NOTICE OF APPEAL by Ulysses Thomas Ware from 96 Judgment. Filing fee \$ 455.00, receipt number E 634101. (nd) (Entered: 11/21/2007)
11/21/2007		

		Transmission of Notice of Appeal and Certified Copy of Docket Sheet as to Ulysses Thomas Ware to US Court of Appeals re: 102 Notice of Appeal - Final Judgment. (nd) (Entered: 11/21/2007)
11/21/2007		Appeal Record Sent to USCA (Electronic File). Certified Indexed record on Appeal Electronic Files as to Ulysses Thomas Ware re: 19 Indictment, 75 MOTION for Hearing <i>Government's Sentencing Submission.</i> , 53 Notice of Appeal - Interlocutory, 102 Notice of Appeal - Final Judgment, 39 Endorsed Letter, 96 Judgment,,,, 45 Order,, 54 Order,, 98 Memo Endorsement, 97 Order,,,, 71 Order on Motion to Produce, Order on Motion for Miscellaneous Relief,, 91 Reply Memorandum of Law in Opposition to Motion, 60 Order, 94 Memo Endorsement, 83 Order, Set Deadlines/Hearings,, 59 Endorsed Letter, 65 Endorsed Letter, 30 Order, 85 Memorandum in Opposition to Motion, 51 Order, 29 Order, Set Deadlines/Hearings,,, 81 Order, 52 Order, 42 Order, 82 Sentencing Memorandum, 37 Certificate of Service, 36 Proposed Examination of Jurors, 15 MOTION for Discovery ; <i>bill of particulars and to dismiss for lack of venue.</i> , 67 USCA Mandate - Final Judgment Appeal, 66 Endorsed Letter, Set Deadlines/Hearings,, 93 Reply Memorandum of Law, 57 Endorsed Letter, 38 Certificate of Service, 28 MOTION in Limine., 34 Notice of Attorney Appearance - USA, 78 Order on Motion for Miscellaneous Relief, 35 Order, Set Deadlines/Hearings,,, 55 Endorsed Letter, Set Deadlines/Hearings,, 86 Sentencing Memorandum, 16 Memorandum in Opposition to Motion, 7 Indictment, 41 Request to Charge, 22 Order, 87 Endorsed Letter, 50 Memorandum in Opposition to Motion, 33 Memorandum in Opposition to Motion, 9 Notice of Attorney Appearance - Defendant were transmitted to the U.S. Court of Appeals. (nd) (Entered: 11/21/2007)
12/17/2007	103	NOTICE OF APPEAL by USA as to Ulysses Thomas Ware from 96 Judgment. Copies mailed to Ulysses Thomas Ware. (tp) (Entered: 12/19/2007)
12/17/2007		Appeal Remark as to Ulysses Thomas Ware re: 103 Notice of Appeal - Final Judgment. NO FEE, A.U.S.A. (tp) (Entered: 12/19/2007)
12/19/2007		Transmission of Notice of Appeal and Certified Copy of Docket Sheet as to Ulysses Thomas Ware to US Court of Appeals re: 103 Notice of Appeal - Final Judgment. (tp) (Entered: 12/19/2007)
12/19/2007		Appeal Record Sent to USCA (Electronic File). Certified Indexed record on Appeal Electronic Files as to Ulysses Thomas Ware re: 103 Notice of Appeal - Final Judgment, 19 Indictment, 75 MOTION for Hearing <i>Government's Sentencing Submission.</i> , 53 Notice of Appeal - Interlocutory, 102 Notice of Appeal - Final Judgment, 39 Endorsed Letter, 96 Judgment, 45 Order, 54 Order, 98 Memo Endorsement, 97 Order, 71 Order on Motion to Produce, Order on Motion for Miscellaneous Relief, 91 Reply Memorandum of Law in Opposition to Motion, 60 Order, 94 Memo Endorsement, 83 Order, Set Deadlines/Hearings, 59 Endorsed Letter, 65 Endorsed Letter, 30 Order, 85 Memorandum in Opposition to Motion, 51 Order, 29 Order, Set Deadlines/Hearings, 81 Order, 52 Order, 42 Order, 82 Sentencing Memorandum, 37 Certificate of Service, 36 Proposed Examination of Jurors, 15 MOTION for Discovery ; <i>bill of particulars and to dismiss for lack of venue.</i> , 67 USCA Mandate - Final Judgment Appeal, 66 Endorsed Letter, Set

		Deadlines/Hearings,, 93 Reply Memorandum of Law, 57 Endorsed Letter, 38 Certificate of Service, 28 MOTION in Limine., 34 Notice of Attorney Appearance - USA, 78 Order on Motion for Miscellaneous Relief, 35 Order, Set Deadlines/Hearings, 55 Endorsed Letter, Set Deadlines/Hearings, 86 Sentencing Memorandum, 16 Memorandum in Opposition to Motion, 7 Indictment, 41 Request to Charge, 22 Order, 87 Endorsed Letter, 50 Memorandum in Opposition to Motion, 33 Memorandum in Opposition to Motion, 9 Notice of Attorney Appearance - Defendant were transmitted to the U.S. Court of Appeals. (tp) (Entered: 12/19/2007)
10/27/2008	110	ORDER of USCA (Certified Copy) as to Ulysses Thomas Ware re: 103 Notice of Appeal - Final Judgment, 102 Notice of Appeal - Final Judgment USCA Case Number 007-5222-cr(L) and 07-5670-cr(XAP). Appellant's, pro se, motion for bail is DENIED. Ware's motion to vacate order of September 12, 2008, granting the government leave to file an out of time motion to file its brief is DENIED. Catherine O'Hagan Wolfe, Clerk USCA. Certified: 10/22/2008. (nd) (Entered: 10/27/2008)
04/15/2009	111	First Supplemental ROA Sent to USCA (Index). Notice that the Supplemental Index to the record on Appeal as to Ulysses Thomas Ware re: 103 Notice of Appeal - Final Judgment USCA Case Number 07-5222, 3 Copies of the index, Certified Supplemental Clerk Certificate and Certified Docket Sheet were transmitted to the U.S. Court of Appeals. (tp) (nd). (Entered: 04/15/2009)
04/15/2009		First Supplemental ROA Sent to USCA (File)(4 VOL). Supplemental Indexed record on Appeal Files as to Ulysses Thomas Ware re: 103 Notice of Appeal - Final Judgment USCA Case Number 07-5222, were transmitted to the U.S. Court of Appeals. (tp) (Entered: 04/15/2009)
04/17/2009		Payment of Fine from Ulysses Thomas Ware in the amount of \$50.00. Date Received: 4/17/09. (mn) (Entered: 04/17/2009)
04/20/2009	112	SEALED DOCUMENT placed in vault. (jri) (Entered: 04/20/2009)
08/20/2009	113	MANDATE of USCA (certified copy) as to Ulysses Thomas Ware re: 102 Notice of Appeal - Final Judgment, 103 Notice of Appeal - Final Judgment, USCA Case Number 07-5222-cr(L), 07-5670-cr. Ordered, Adjudged and Decreed that the judgment of the District Court is AFFIRMED and the matter is REMANDED for additional proceedings in connection with sentencing in accordance with the opinion of this court. Catherine O'Hagan Wolfe, Clerk USCA. Issued As Mandate: 7/18/2009. (nd) (Entered: 08/20/2009)
08/20/2009		Transmission of USCA Mandate/Order to the District Judge re: 113 USCA Mandate - Final Judgment Appeal,. (nd) (Entered: 08/20/2009)
08/31/2009	114	ENDORSED LETTER as to Ulysses Thomas Ware, addressed to Judge Pauley, from Nicholas S. Goldin, AUSA, dated 8/26/09, re: On 8/18/09, the Court of Appeals affirmed the conviction of the dft Ulysses Thomas Ware and rejected all of his claims on appeal with one exception: whether there is a factual basis for applying the four-level role enhancement under the Sentencing Guidelines.... The Gov't is reviewing the decision of the Court of Appeals and the record in this Court with respect to the role enhancement issue, and seeks

		until 9/17/09 to make a submission in this Court addressing the role adjustment issue and how it believes the Court should proceed. -- Judge endorsed: Application granted. SO ORDERED. (Signed by Judge William H. Pauley, III on 8/27/09)(ja) (Entered: 08/31/2009)
09/28/2009	117	MOTION Pursuant to Rule 12(b)(3) of the F.R.Cr.P. to Dismiss the Indictment in 05-CR-1115(WHP) for Failure to State an Offense. Document filed by Ulysses Thomas Ware, dated September 19, 2009. [*** Received by Judge Pauley's Chambers on 9/30/09 ***] (bw) (Entered: 10/20/2009)
10/05/2009	123	MOTION for Disclosure of all Ex parte Communications Between He and any Former or Current Personnel of the Securities and Exchange Commission..., MOTION to Produce same (Brady and Giglio Evidence, Kordel Evidence, Kordel Pleadings and Kordel Hearings.) Document filed by Ulysses Thomas Ware. (ja) (Entered: 11/18/2009)
10/19/2009	115	Letter addressed to Judge William H. Pauley from defendant Ulysses Thomas Ware, dated July 7, 2009 re: This letter is in regards to you fulfilling your sworn constitutional duty to uphold justice. [*** Received by Judge Pauley's Chambers on 7/23/09 ***] (bw) (Entered: 10/20/2009)
10/19/2009	116	Letter addressed to Judge William H. Pauley from defendant Ulysses Thomas Ware, dated September 22, 2009 re: Today September 22, 2009 I am in receipt of the Government's apparent supplement to the record in the above styled case according to their interpretation of the remand of the Court of Appeals. [*** Received by Judge Pauley's Chambers on 9/28/09 ***] (bw) (Entered: 10/20/2009)
10/19/2009	118	MOTION for District Judge William H. Pauley, III to Produce and Disclose certain documents and information. Document filed by Ulysses Thomas Ware. (bw) (Entered: 10/27/2009)
10/19/2009	119	MOTION to Show Cause why District Judge William H. Pauley, III should not be prosecuted for bribery, acceptance of an illegal gratuity, perjury, extortion, and misprison of a felony. Document filed by Ulysses Thomas Ware. [*** Received by Judge Pauley's Chambers on 10/23/09 ***] (bw) (Entered: 10/27/2009)
10/19/2009	120	Letter by Ulysses Thomas Ware, addressed to the District Court Clerk's Office, from Ulysses Thomas Ware, Pro Se, dated 10/8/09, re: enclosed are three motions to be filed and docketed in the above styled case. All parties have been served with a copy of the pleadings. Please forward a copy of the docket report reflecting the docketing of the pleadings. (ja) (Entered: 10/30/2009)
11/06/2009	121	MOTION for government certifications of non use of perjured testimony and materially false and misleading exhibits at Trial-1 and Trial-2 and at sentencing in 05-cr-1115 (WHP) and in resentencing submission of September 17, 2009. Document filed by Ulysses Thomas Ware. (ja) (Entered: 11/17/2009)
11/16/2009	122	MOTION pursuant to Brady, Giglio, and 18 USC Sec. 3500. Document filed by Ulysses Thomas Ware. (Rec'd in mail 10/19/09, and in Chambers 10/23/09.) (ja) (Entered: 11/18/2009)

12/29/2009	124	Defendant Ware's Informal Objections To The Government's September 17, 2009 Remand Submission. Document filed by Ulysses Thomas Ware, dated December 10, 2009. (bw) (Entered: 12/30/2009)
12/29/2009	125	Ware's Affirmation Dated December 15, 2009: Incorporated by reference in all post trial motions. Document filed by Ulysses Thomas Ware, dated December 15, 2009. (bw) (Entered: 12/30/2009)
12/29/2009	126	MOTION to Void Jeremy Jones Plea Agreement; Carlton Epps Non-prosecute Agreement; Myron Williams Non-prosecute Agreement; Rick Sadler's Non-prosecute Agreement for Fraud on the Court, Fraud, Racketeering, Obstruction of Justice Committed by: U.S. Attorney Michael J. Garcia, Esq., District Judge William H. Pauley, III (SDNY), AUSA Alexander H. Southwell, AUSA Steven D. Feldman, AUSA Nicholas S. Goldin; and Request for an Evidentiary Hearing on any Issue of Disputed Material Fact. Document filed by Ulysses Thomas Ware, dated December 17, 2009. (bw) (Entered: 12/30/2009)
12/29/2009	127	Defendant Ware's Application for a Show Cause Investigation Pursuant to Rule 60(d)(3) of the Federal Rules of Civil Procedure for Fraud on the Court Committed by Officers of the Court: District Judge William H. Pauley, III (individual and Art. III judicial capacity); AUSA Alexander H. Southwell; AUSA Steven D. Feldman; AUSA Nicholas S. Goldin; Michael F. Bachner, Esq.; Gary G. Becker, Esq.; Isabella Kirshner, Esq.; Edward T. M. Garland, Esq.; Manny Arora, Esq.; David Levitt, Esq.; Spencer Barasch, Esq.; Jeffrey B. Norris, Esq.; Steven Webster, Esq.; and Michael J. Garcia, Esq. Document filed by Ulysses Thomas Ware, dated December 17, 2009. (bw) (Entered: 12/30/2009)
12/31/2009	128	Letter by USA as to (S1-05-Cr-1115-01) Ulysses Thomas Ware addressed to Judge William H. Pauley III from AUSA Nicholas S. Goldin dated September 17, 2009 re: The Government writes in response to the decision of the Court of Appeals on August 18, 2009 affirming the conviction of the defendant Ulysses Thomas Ware. The court rejected all of the defendant's claims with one exception. In connection with the question of whether there is sufficient factual basis for applying the four-level role enhancement under Section 3B1.1(a) of the Sentencing Guidelines, the Court of Appeals remanded "to the district court either for supplementation of the record with findings as to why the criteria of Section 3B1.1(a) are met, or, if the court concludes that those criteria are not met, for resentencing. The Government proposes that the Court supplement the record with findings based on these additional facts, without resentencing the defendant. [*** Received by Judge Pauley's Chambers on 9/17/09 ***] (bw) (Entered: 01/05/2010)
01/20/2010	129	MOTION to Dismiss Indictment or MOTION for New Trial. Document filed by Ulysses Thomas Ware. (ja) (Entered: 01/22/2010)
02/22/2010	130	MOTION to Dismiss the Indictment or MOTION to conduct a Kastigar, Curcio, and Kordel Hearing; and pursuant to 18 USC Sec. 3143(b) immediate bail on his personal recognizance on or before March 1, 2010. Document filed by Ulysses Thomas Ware. (ja) (Entered: 02/24/2010)
03/04/2010	131	

		Letter by Ulysses Thomas Ware, addressed to Judge Pauley, from Ulysses Thomas Ware, Pro Se, dated 8/25/2009, re: dft is in receipt of the mandate issued by the US Court of Appeals for the Second Circuit in U.S. v. Ware, 07-5222-CR, regarding the contested sentence challenge pursuant to Sec. 3B1.1. Therefore, Ware is placing you on notice that at any future "sentencing hearing", Ware hereby demands a Fatico hearing on any contested issue regarding any issue regarding sentence. At the Fatico hearing Ware will call the following list of individuals as witnesses.... (ja) (Entered: 03/05/2010)
03/04/2010	132	Defendant Ware's Demand for the USA to Show Cause in Writing by and Through the USAs Office for the SDNY on or before 3/19/2010 Why the Indictment in the Above Styled Case Shall Not be Dismissed with Prejudice, Else, if the USA-SDNY Fails to Reply, the Indictment Shall be Dismissed on 3/19/2010, and the District Clerk is Authorized to Enter the Order, as to Ulysses Thomas Ware. (ja) (Entered: 03/11/2010)
04/26/2010	135	Letter by Ulysses Thomas Ware, addressed to Mr. Kotz, from Thomas Ware, dated 4/21/2010, re: Ware's Letter of 4/21/2010 to SEC Inspector General Detailing District Judge Pauley's Conspiracy with Spencer Barasch, Esq. and Other Co-conspirators. (ja) Modified on 5/11/2010 (ja). (Entered: 05/11/2010)
05/07/2010		First Supplemental ROA Returned. [4 vols.] Supplemental Indexed record on Appeal Files as to Ulysses Thomas Ware re: Supplemental ROA Sent to USCA - File USCA Case Number 07-5222-cr, returned from the U.S. Court of Appeals. (nd) (Entered: 05/13/2010)
05/10/2010	136	Letter addressed to J.Michael McMahon from Ulysses Thomas Ware dated 4/22/2010. This letter is in regard to 05-cr-1115 (WHP) and the current status of the numerous pleadings pending before the court. District Judge Pauley was named a respondent in a rule 60(d)(3) Fraud on the court pleading regarding 1115 (WHP) and thus he was disqualified to adjudicate the pending motions and as a matter of law (28 U.S.C. sections 144, 455 (a), (b)(1) to recuse himself from any further actions in 1115(WHP) (dnd) (Entered: 05/13/2010)
05/10/2010	137	Letter addressed to Department of Justice's Organized Crime and Racketeering Division from Ulysses Thomas Ware dated 4/26/2010 Exposing the Extortion Conducted by Judges: Pauley, Sand, Sweet, Hall, Kearse, Sack, Pooler, Parker, and Raggi, and Jacobs... (dnd) (Entered: 05/13/2010)
05/10/2010	138	Exhibit of Criminal Fraud as to Ulysses Thomas Ware. Defendant/Appellant Ulysses Thomas Ware's Exhibit of Criminal Fraud on the Court of District Clerk L. Michael McMahon, District Judges: Leonard B. Sand; William H. Pauley, III, Robert W. Sweet, and Kent J. Dawson (D. NV); and Circuit Judges: Peter W. Hall, Robert Sack; Amalya Kearse; Reena Raggi; Rosemary Pooler; Barrington Parker;, and Dennis Jacobs; and former U. S. Attorney Michael J. Garcia, Esq., all officers of the court. (dnd) (Entered: 05/13/2010)
05/11/2010	133	Letter by defendant Ulysses Thomas Ware addressed to Mr. McMahon, District Clerk's Office, dated April 19, 2010 re: Enclosed is Ware's Motion pursuant to Rule 45 to extent the time period to file the Rule 33 motion for a new trial for newly discovered evidence. [*** Received by Judge Pauley's Chambers on 4/27/2010 ***] (bw) (Entered: 05/11/2010)

05/11/2010	134	Letter by defendant Ulysses Thomas Ware addressed to Judge William H. Pauley, III, dated January 26, 2010 re: Enclosed is Ware's Supplemental Memorandum of Law attached to the Rule 60(d)(3) Motion filed in the Circuit Court. The Memorandum is incorporated by reference and supplements all memorandum of law Ware has filed in U.S. v. Ware, 05-CR-1115(WHP) (SDNY). [*** Received by Judge Pauley's Chambers on 2/3/2010 ***] (bw) (Entered: 05/11/2010)
05/17/2010	139	Letter addressed to District Clerk's Office from defendant Ulysses Thomas Ware dated May 4, 2010 re: This letter is in regard to a bail motion filed by defendant Ware on February 15, 2010 via certified mail number 7007 3020 0001 6796 5782. The U.S. Post Office has confirmed the package was delivered to your office. The government has not and did not respond to Ware's motion within the limits of the Rules of criminal procedure, and thus has defaulted. [*** Received by Judge Pauley's Chambers on 5/11/2010 ***] (bw) (Entered: 05/17/2010)
05/17/2010	140	Letter addressed to District Clerk's Office from defendant Ulysses Thomas Ware dated May 4, 2010 re: This letter is in regard to a bail motion filed by defendant Ware on February 15, 2010 via certified mail number 7007 3020 0001 6796 5782. The U.S. Post Office has confirmed the package was delivered to your office. The government has not and did not respond to Ware's motion within the limits of the Rules of criminal procedure, and thus has defaulted. [*** Received by Judge Pauley's Chambers on 5/11/2010 ***] (bw) (Entered: 05/17/2010)
05/20/2010	143	Letter by Ulysses Thomas Ware addressed to Judge William H. Pauley III dated 5/12/2010 re: I am in receipt of the Government's letter dated May 6, 2010 in regard to the delayed remand proceedings in this matter. Previously on or about December 10, 2009 Ware filed an "Informal Objection to the Government's September 17, 2009 Remand Submission" with you and the district clerk's office and served the government with the same, ("Remand Submission")... (dnd) (Entered: 05/27/2010)
05/26/2010	141	Defendant Ware's RESPONSE to the Government's Remand Letter Dated May 6, 2010. Document filed by Ulysses Thomas Ware, dated May 12, 2010. [*** Received by Judge Pauley's Chambers on 5/19/2010 ***] (bw) (Entered: 05/26/2010)
05/26/2010	142	MOTION Pursuant to Rule 60(d)(3) of the Federal Rules of Civil Procedure for Fraud on the Court Committed by the Respondents: William H. Pauley, III, Michael J. Garcia, Esq., Preet Bharara, Esq., Steven D. Feldman, Esq., Nicholas S. Goldin, Esq., and Maria E. Douvas, Esq., Where Ware was Acquitted by the District Court on October 26, 2007, s. Tr. 74-76, of all Charges; and Section 487 of New York Judiciary Law for Fraud. Document filed by Ulysses Thomas Ware, dated May 17, 2010. Appendix To attached. [*** Received by Judge Pauley's Chambers on 5/21/2010 ***] (bw) (Entered: 05/26/2010)
06/07/2010	144	Letter by Ulysses Thomas Ware addressed to Judge Loretta A. Preska from Ulysses Thomas Ware dated 5/18/2010. This letter is in regard to a pleading mailed to the district's clerk's office on April 1, 2010 via certified mail # 7003

		1680 0005 1205 1630 styled as: Plaintiff-Appellant Ulysses Thomas Ware's Independent Action Pursuant to Federal Rule of Civil Procedure 60(d)(3)... enclosed as Exhibit #1. (dnd) (Entered: 06/10/2010)
06/07/2010	145	Letter by Ulysses Thomas Ware addressed to J.Michael McMahon from Ulysses Thomas Ware dated 4/22/2010. This letter is in regard to 05-CR-1115 (WHP) and the current status of the numerous pleadings pending before the Court. District Judge Pauley was named as a respondent in a Rule 60 (d)(3) Fraud on the Court pleading regarding 1115(WHP) and thus he was disqualified to adjudicate the pending motions and as a matter of law (28 U.S.C. section 144, 455(a), (b)(1) to recuse himself from any further actions in 1115 (WHP)... (dnd) (Entered: 06/10/2010)
06/10/2010	146	Letter addressed to District Clerk Ruby J. Krajick from defendant Ulysses Thomas dated June 1, 2010 re: Letter of May 17, 2010 Returning Fraud on the Court Pleading. I am in receipt of your package dated May 17, 2010 returning, unfiled and docketed a pleading submitted to the district clerk's office for filing. You referenced "Rule 5(d)(2)(B),(4)" as the authority, apparently, to deny filing and docketing the pleading. [*** Received by Judge Pauley's Chambers on 6/8/2010 ***] (bw) (Entered: 06/10/2010)
06/24/2010	147	Letter by Ulysses Thomas Ware addressed to Chief Judge Dennis G. Jacobs from Ulysses Thomas Ware dated 6/16/2010 re: This letter details the criminal conspiracy of the District Judges in the Alpha Litigation 02-CV-2219(LBS) (SDNY), Alpha Capital AG, et al. v. IVG Corp., et al. (ab) (Entered: 07/06/2010)
07/21/2010	148	Letter by Ulysses Thomas Ware addressed to Ms. Krajick from Ulysses Thomas Ware dated 7/14/2010 re: This letter is in regard to the matter referenced above, and a copy was forwarded to District Judge William H. Pauley, III as well as the Administrator of the US Court regarding irregularities in matters before the clerk's office, to wit, the adjudication of outstanding motions before the court. I am requesting that you, as the Clerk of the District Court, perform your duty and notify the Chief Judge, Loretta Preska, of the current procedural posture of this matter and propose a speedy resolution in compliance with federal law. (jw) (Entered: 07/26/2010)
08/13/2010	149	ORDER as to Ulysses Thomas Ware. By an opinion dated August 18, 2009, the Court of Appeals affirmed the conviction of Defendant Ulysses Thomas Ware ("Ware") and remanded the matter for further proceedings regarding his sentence. United States v. Ware, 577 F.3d 442 (2d Cir. 2009). Specifically, the Court of Appeals remanded the case to this Court "for either supplementation of the record with findings as to why the criteria of United States Sentencing Guidelines § 3B1.1 are met, or if the Court concludes that those criteria are not met, for resentencing." Ware, 577 F.3d at 455. Thereafter, the Government and Ware filed memoranda concerning this issue. Indeed, this Court has received no fewer than twenty pro se letter applications and memoranda from Ware over the last ten months.... Thus, this Court concludes that the application of the four-level role enhancement under § 3B1.1 to Ware's offense level calculation was warranted and declines to disturb Ware's principal sentence of 97 months of imprisonment. Finally, since the remand, Ware has launched a barrage of

		post-judgment applications and motions. This Court has reviewed all of them. They are uniformly and completely without merit. Accordingly, his applications, inter alia, for bail, post-judgment relief, dismissal of the Indictment, vacatur of his conviction, and recusal of this Court are denied. As a former attorney, Ware is cautioned to refrain from burdening this Court with incessant and patently frivolous applications. The Clerk of this Court is directed to mark this case as closed. SO ORDERED. (Signed by Judge William H. Pauley, III on 8/12/2010) Copies Mailed By Chambers. (ja) (Entered: 08/13/2010)
02/23/2011	150	Letter by Ulysses Thomas Ware addressed to Judge William H. Pauley from Ulysses Thomas Ware dated 2/14/2011 re: The defendant writes to submit a corrected version of the 2/1/2011 Affirmation of Undisputed Material Facts which replaces the prior document. (ab) (Entered: 02/23/2011)
02/23/2011	151	Letter addressed to The Honorable Eric H. Holder, Jr., Attorney General, from defendant Ulysses Thomas, dated February 4, 2011 re: Official Notification of Criminal Offenses of Government Lawyers. (bw) (Entered: 02/24/2011)
02/23/2011	152	Jurisdictional Statement. Document filed by Ulysses Thomas Ware. (bw) (Entered: 02/24/2011)
02/23/2011	153	Letter addressed to Judge William H. Pauley, III from defendant Ulysses Thomas Ware, dated February 10, 2011 re: Motion to Dismiss the Indictment. [*** Received by Judge Pauley's Chambers on 2/15/2011 ***] (bw) (Entered: 02/24/2011)
03/09/2011	154	Letter addressed to District Clerk's Office (U.S. District Court, Southern District of New York) from defendant Ulysses Thomas Ware dated January 20, 2011 re: Please docket and file the enclosed pleadings (Ware's Supplement to his Rule 33 Motion for a New Trial... Affirmation, Memorandum of Law, and Appendix) in the above referenced case. District Judge Pauley was served with notice of this filing with the clerk's office. [*** Received by Judge Pauley's Chambers on 1/31/2011 ***] (bw) (Entered: 03/10/2011)
03/09/2011	155	Letter addressed to Office of the Solicitor General, Michael Dreeben, Principal Deputy Solicitor General, U.S. Department of Justice (950 Pennsylvania Ave., Washington, D.C. 20530) from defendant Ulysses Thomas Ware dated February 25, 2011 re: This letter is in regard to the Government's legal position concerning the Ware Litigation in the federal courts. [*** Received by Judge Pauley's Chambers on 3/4/2011 ***] (bw) (Entered: 03/10/2011)
03/09/2011	156	Letter by Ulysses Thomas Ware addressed to Judge William H. Pauley III from Ulysses Thomas Ware dated 3/2/11 re: The Government on December 17, 2007 filed a notice of appeal in 05 cr 1115, cross-appealing District Judge William H. Pauley, III October 12, 2007 Adjournment Ruling and the October 26, 2007 Inefficiency Ruling, with the express approval of the Office of the Solicitor General pursuant to 28 C.F.R. 0.20(a,b) and 18 USC 3742(b) (jw) (Entered: 03/11/2011)
03/10/2011	157	

		Ware's Supplement to his Rule 33 Motion for a New Trial; and Memorandum in Support of Motion to Dismiss the Indictment Pursuant to Rule 12(b) and Article III as to Ulysses Thomas Ware. (jw) (Entered: 03/14/2011)
03/16/2011	158	Letter by Ulysses Thomas Ware, addressed to Judge Pauley, from Thomas Ware, Pro Se, dated 3/7/2011, re: False Declaration by U.S. Attorney... pending in the district court Ware's Rule 33 and Rule 12(b)(3) motions for a new trial and to dismiss the indictment, respectively. Bringing to the court's attention pertinent law and facts relative to your decision to grant the above reference motions immediately.... (ja) (Entered: 03/16/2011)
03/16/2011	159	Letter by Ulysses Thomas Ware, addressed to Judge Pauley, from Ulysses Thomas Ware, Pro Se, dated 3/11/2011, re: pending Rule 33 and 12(b)(3) Motions and Govt's no opposition to motions and that the referenced motions have been pending in the district court for more than six (6) months without any response in opposition from the USA for SDNY.... (ja) (Entered: 03/17/2011)
03/25/2011	161	MOTION for Disclosure of Transcripts of Grand Jury Testimony of the Government's Witness(s)/Who Testified.Document filed by Ulysses Thomas Ware. (dnd) (Entered: 03/30/2011)
03/25/2011	162	Letter addressed to Senator Charles Grassley (R. Iowa) from Ulysses Thomas Ware dated 3/16/2011. re: This letter is of the utmost importance to the imperative of judicial integrity, and the public's confidence in the impartial and legal administration of the criminal justice system in the United States. Currently in the federal District and Circuit Courts in New York in collusion and conspiring with the USAO (Preet Bharara) is an on going criminal conspiracy and racketeering enterprise as defined in 18 USC 1961 conducting a pattern of racketeering criminal activities in violation of the federal laws of the United States... (dnd) (Entered: 03/30/2011)
03/30/2011	160	Letter by Ulysses Thomas Ware addressed to Judge William H. Pauley from Ulysses Thomas Ware dated 3/21/2011 re: Defendant Ware's letter addressing Criminal Civil Rights Violations by District Judge William H. Pauley, III and Preet Bharara. (dnd) (Entered: 03/30/2011)
04/12/2011	163	Defendant Ware's April 6, 2011 Rule 801(d)(2)(E) Fraud Letter to District Judge William H. Pauley, III Seeking a Ruling on Ware's Rule 33 and 12(b)(3) Motions Pending Since October, 2010; and (2) Referral to the Department of Justice for Criminal Prosecution District Judge William H. Pauley, III.... as to Ulysses Thomas Ware. (ja) (Entered: 04/13/2011)
04/12/2011	164	Letter by Ulysses Thomas Ware addressed to Judge William H. Pauley from Ulysses Thomas Ware dated 3/31/11 re: Res Judicata and Collateral fraud on the court. Fourth request. (jw) (Entered: 04/14/2011)
04/14/2011	165	Letter by Ulysses Thomas Ware addressed to Judge William H. Pauley from Ulysses Thomas Ware dated 4/6/11 re: Fraud letter to District Judge William H. Pauley, III Seeking a Ruling on Ware's Rule 33 and 12(b)(3) Motions Pending Since October, 2010. (jw) (Entered: 04/15/2011)
04/22/2011	166	

		MANDATE of USCA (certified copy) as to Ulysses Thomas Ware re: 67 USCA Mandate - Final Judgment Appeal, 113 USCA Mandate - Final Judgment Appeal, USCA Case Number 07-5222-cr(L), 07-5670-cr. Ordered, Adjudged and Decreed that the judgment of the District Court is AFFIRMED. Catherine O'Hagan Wolfe, Clerk USCA for the Second Circuit, Clerk USCA. Issued As Mandate: 04/22/2011. (nd) (Entered: 04/22/2011)
04/22/2011		Transmission of USCA Mandate/Order to the District Judge re: 166 USCA Mandate. (nd) (Entered: 04/22/2011)
04/27/2011	167	Letter by Ulysses Thomas Ware addressed to Judge William H. Pauley III from Ulysses Thomas Ware dated 4/13/11 re: Judge Pauley, If you would please inform the parties of your intentions in regard to the continued exercise of Art. II, sec. 3 authority, the parties (both Ware and the Executive Branch) will be in position to seek a writ of prohibition for the ultra vires exercise of Art. II authority by an Art. III judge in willful violation of the separation of powers of the Constitution in continuing to prosecute 1115 in the absence of Art. II authority. (jw) (Entered: 04/28/2011)
05/03/2011	168	LETTER MOTION for 6th request for Ruling on Rule 33, 12(B)(3) Motions. Document filed by Ulysses Thomas Ware. (ja) (Entered: 05/05/2011)
05/10/2011	169	Letter by Ulysses Thomas Ware addressed to Judge William H. Pauley, III from Ulysses Thomas Ware dated 5/1/11 re: Notification of Fraud on the Court and fraudulent conduct of district Judge William H. Pauley, III and other government agents and private lawyers, all officers of the Court. (jw) (Entered: 05/11/2011)
06/01/2011	170	Letter addressed to Judge Pauley from defendant Ulysses Thomas Ware dated 5/22/2011 re: Defendant Ware's May 22, 2011 letter to District Judge Pauley in regard to Rule 12(b)(3) and Rule 33 Motions pending in the District Court since October 7, 2010 after the Second Circuit Court of Appeals entered final judgment on August 18, 2009 on the United States' abandoned cross-appeal in U.S. v. Ware, 07-5670-Cr (con), (Dkt. #113:1115). (bw) (Entered: 06/01/2011)
06/24/2011	171	Letter addressed to Judge William H. Pauley, III from defendant Ulysses Thomas Ware dated 6/7/2011 2:45:31 PM re: This letter is in regard to your role and participation in the criminal actions by the United States Attorney for the Southern District of New York, (the "USAO") regarding 1115. As you know the USAO with the permission of the Justice Department (the Office of the Solicitor General, "OSG") pursuant to 28 USC 0.20(a, b), 18 USC 3742(b), and 28 USC 516 on November 7, 2008 abandoned the United States' interests in 1115 acquitting Ware of all charges in 1115. [*** Received by Judge Pauley's Chambers on 6/13/2011 ***] (bw) (Entered: 06/27/2011)
06/27/2011	172	Letter addressed to Judge William H. Pauley, III from defendant Ulysses Thomas Ware dated 5/27/2011 7:10:21 AM re: Defendant Ware's May 26, 2011 letter to District Judge William H. Pauley, III in regard to fraudulent jury instructions concerning venue for the proceeding to be tried in the Southern District of New York in light of the Court's October 26, 2007 rulings. [*** Accepted for filing by Judge Pauley on 6/10/2011 ***] (bw) (Entered: 06/27/2011)

06/27/2011	173	Defendant Ware's Supplemental Exhibit to all Ware's Motions filed since October 7, 2010. RE: June 22, 2011 letter to Senator Charles Grassley, United States Senate Judiciary Committee concerning District Judge William H. Pauley, III criminal frauds on the court along with Circuit Judge Peter W. Hall. Document filed by Ulysses Thomas Ware, dated June 22, 2011. (bw) (Entered: 06/28/2011)
07/06/2011	174	Letter addressed to The Honorable William K. Suter (Office of the Clerk, U.S. Supreme Court, Washington, D.C.) from defendant Ulysses Thomas Ware, dated 6/9/2011 2:55:51 PM re: Enclosed is the petitioner Ware's application for an extension of 60 days to file a petition for writ of certiorari in the Court pursuant to Rule 22. Please docket and filed the enclosed pleading and forward to Circuit Justice Ginsburg for review. (bw) (Entered: 07/06/2011)
07/06/2011	175	Letter addressed to Preet Bharara (U.S. Attorney, New York, NY) from defendant Ulysses Thomas Ware, dated 6/16/2011 1:43:02 PM re: This letter is in regard to the above styled cases purported to be "pending" in the district courts or the court of appeals; however, in both cases an Article III, Section 2 live case or controversy does not exists. (bw) (Entered: 07/06/2011)
07/19/2011	176	Appellant Ware's Exhibit #4 filed in support of his motion to dismiss the indictment in U.S. v. Ware, 04-Cr-1224(RWS)(SDNY), and 05-Cr-1115(WHP)(SDNY); Fraud on the Court in U.S. v. Ware, 07-5222-Cr, 07-5670(XAP), 04-Cr-1224(RWS)(SDNY) and 05-Cr-1115(WHP)(SDNY). Document filed by Ulysses Thomas Ware, dated 6/22/2011 11:38:22 AM. [*** NOTE: Accepted for filing by Judge William H. Pauley. ***] (bw) (Entered: 07/19/2011)
07/19/2011	177	Defendant Ware Exhibits to all Motions Filed Since October 7, 2011, as to Ulysses Thomas Ware. (ja) (Entered: 07/21/2011)
08/17/2011	178	ORDER as to (05-Cr-1115-01) Ulysses Thomas Ware. The Court received the attached letter dated August 4, 2011. This action has been terminated and in the absence of any pending proceeding, these documents will be docketed and no further actions will be ordered. SO ORDERED: (Signed by Judge William H. Pauley, III on 8/16/2011)(bw) (Entered: 08/17/2011)
09/06/2011	179	Letter by Ulysses Thomas Ware, addressed to Senator Charles Grassley, U.S. Senate Judiciary Committee, Washington, DC, from Ulysses Thomas Ware, pro se dft, dated 7/14/2011, re: Letter to Judiciary Com. 2d Cir. Fraud. The Letter is in regard to the Executive and Judicial Branch officers' criminal corruption and racketeering activities using the U.S. federal courts as a criminal enterprise. (ja) (Entered: 09/16/2011)
09/19/2011	180	ORDER: As to Ulysses Thomas Ware. The Court received the attached submission dated September 9, 2011. This action has been terminated and in the absence of any pending proceeding, these documents will be docketed and no further actions will be ordered. SO ORDERED. (Signed by Judge William H. Pauley, III on 9/19/2011)(dnd) (Entered: 09/20/2011)
09/19/2011	181	ORDER: As to Ulysses Thomas Ware. The Court received the attached submission dated September 10, 2011. This action has been terminated and in the absence of any pending proceeding, these documents will be docketed and

		no further actions will be ordered. SO ORDERED. (Signed by Judge William H. Pauley, III on 9/19/2011)(dnd) (Entered: 09/20/2011)
10/05/2011	182	ORDER as to Ulysses Thomas Ware. The Court received the attached letter dated August 28, 2011. This action has been terminated and in the absence of any pending proceeding, these documents will be docketed and no further actions will be ordered. SO ORDERED: (Signed by Judge William H. Pauley, III on 9/6/2011)(bw) (Entered: 10/05/2011)
10/05/2011	184	ORDER as to Ulysses Thomas Ware. The Court received the attached letter dated August 20, 2011. This action has been terminated and in the absence of any pending proceeding, these documents will be docketed and no further actions will be ordered. SO ORDERED: (Signed by Judge William H. Pauley, III on 9/1/2011)(bw) (Entered: 10/05/2011)
10/05/2011	186	ORDER as to Ulysses Thomas Ware. The Court received the attached letter dated August 20, 2011. This action has been terminated and in the absence of any pending proceeding, these documents will be docketed and no further actions will be ordered. (Signed by Judge William H. Pauley, III on 9/13/2011) (bw) (Entered: 10/05/2011)
10/05/2011		***DELETED DOCUMENT. Deleted document numbers 183, 185, Letters, as to Ulysses Thomas Ware. The document was incorrectly filed in this case. (bw) (Entered: 10/05/2011)
10/05/2011	187	ORDER as to Ulysses Thomas Ware. The Court received the attached letter dated August 28, 2011. This action has been terminated and in the absence of any pending proceeding, these documents will be docketed and no further actions will be ordered. SO ORDERED: (Signed by Judge William H. Pauley, III on 9/12/2011)(bw) (Entered: 10/05/2011)
10/05/2011	188	ORDER as to Ulysses Thomas Ware. The Court received the attached submission dated September 1, 2011. This action has been terminated and in the absence of any pending proceeding, these documents will be docketed and no further actions will be ordered. SO ORDERED: (Signed by Judge William H. Pauley, III on 9/14/2011)(bw) (Entered: 10/05/2011)
10/05/2011	189	ORDER as to Ulysses Thomas Ware. The Court received the attached letter dated August 22, 2011. This action has been terminated and in the absence of any pending proceeding, these documents will be docketed and no further actions will be ordered. SO ORDERED: (Signed by Judge William H. Pauley, III on 9/1/2011)(bw) (Entered: 10/05/2011)
10/18/2011	190	Supplement #1.1 as to Ulysses Thomas Ware Fraud on the Court Independent action and Motion pursuant to Hazel Atlas-Glass, and the Fifth Amendment to the Constitution... (dnd) (Entered: 10/18/2011)
10/24/2011	191	Letter by Ulysses Thomas Ware addressed to Ruby Krajick Clerk of Court from Ulysses Thomas Ware dated 10/17/2011. re: Defendant submits this letter as a request to purchase a certified copy of the docket report in U.S. vs Ware, 05-cr-1115 and the enclosed payment of \$9.00. (dnd) (Entered: 10/24/2011)
11/02/2011	192	

		Letter by Ulysses Thomas Ware addressed to Judge William H. Pauley III from Ulysses Thomas Ware dated 9/15/2011 re: The defendant writes as a good faith attempt to resolve all outstanding issues and pleadings in 1115 pending since 10/7/2010. (ab) (Entered: 11/10/2011)
11/02/2011	193	Letter by Ulysses Thomas Ware addressed to Judge William H. Pauley III from Ulysses Thomas Ware dated 10/5/2011 re: The defendant writes to submit this letter of inquiry as Clerk of the Court to clarify the proper interpretation of the Court's Rules and remove any confusion. (ab) (Entered: 11/10/2011)
11/02/2011	194	Letter by Ulysses Thomas Ware addressed to Judge William H. Pauley III from Ulysses Thomas Ware dated 9/16/2011 re: The defendant writes in regard to the Court's 8/13/2010 remand order entered illegally in 1115 in violation of 18 USV 3231 and the Double Jeopardy Clause of the 5th Amendment, after the Second Circuit entered final judgment on 8/18/2009 in 07 Cr. 5670 on the Court's 10/12/2007 and 10/26/2007 rulings. (ab) (Entered: 11/16/2011)
11/02/2011	195	Letter by Ulysses Thomas Ware addressed to Judge William H. Pauley III from Ulysses Thomas Ware dated 10/12/2011 re: The defendant writes to submit to the Court for docketing a motion for an order requesting the Department of Justice appoint a special prosecutor to seek an indictment of yourself and others, and the Exhibits accompanying the motion. (ab) (Entered: 11/16/2011)
11/02/2011	196	Letter by Ulysses Thomas Ware addressed to Attorney General Eric H. Holder, Jr. from Ulysses Thomas Ware dated 10/16/2011 re: The defendant writes to request the appointment of a special prosecutor to investigate, indict and prosecute Circuit and District Court judges involved in the Ware Litigation. (ab) (Entered: 11/16/2011)
11/02/2011	197	Letter by Ulysses Thomas Ware addressed to William K. Suter from Ulysses Thomas Ware dated 10/19/2011 re: The defendant writes in reply to the letter dated 10/14/2011, and has several issues with the statements made within. (ab) (Entered: 11/16/2011)
01/27/2012	198	Letter by Ulysses Thomas Ware (1) addressed to Judge William H. Pauley III from Ulysses Thomas Ware re: The defendant writes to request a kordel hearing and to initiate criminal contempt proceedings in regard to docket numbers 17 and 44 against the DOJ agents. (ab) (Entered: 02/21/2012)
02/21/2012	200	Letter addressed to Judge Loretta A. Preska from defendant Ulysses Thomas Ware dated 1/30/2012 08:44:35 PM re: U.S. v. Ware, 05-Cr-1115(WHP) (SDNY),("1115") Fifth Request for Certified copy of docket report. Today January 30, 2012 I, finally, received a copy (uncertified) of the purported docket report in 1115 after four previous requests; however, the docket report was not certified, and there are several glaring omissions not contained on the docket report. Enclosures: Doc.#1 and Doc.#2. [via certified mail number # 7003-1680-0005-1205-7564] (bw) (Entered: 02/23/2012)
02/21/2012	199	Letter by defendant (05-Cr-1115-01) Ulysses Thomas Ware dated 1/22/2012 12:37:10 PM. re: MOTION for a Kordel Hearing, and pursuant to Rule 42 of the Fed. R. Crim. Proc. to initial criminal contempt proceedings in regard to Docket #17 and #44 against Alexander H. Southwell, Michael J. Garcia,

		Steven D. Feldman, Nicholas S. Goldin, Maria Douvas, Andrew L. Fish, and Preet Bharara, (the "DOJ Agents") for not disclosing Brady and Giglio evidence prior to trial in 1115. (bw) (Entered: 02/24/2012)
03/02/2012	201	Letter by Ulysses Thomas Ware addressed to Judge William H. Pauley III from Ulysses Thomas Ware dated 11/30/2011 re: The defendant writes regarding the outstanding motions before the Court in which the Court has yet to take any action. (ab) (Entered: 03/05/2012)
03/02/2012	202	Letter by Ulysses Thomas Ware addressed to Judge William H. Pauley III from Ulysses Thomas Ware dated 11/22/2011 re: The defendant writes regarding 11-2151 referenced above and the bogus and fraudulent "motion for leave" imposed either sua sponte by circuit clerk Catherine O'Hagan-Wolfe. (ab) (Entered: 03/05/2012)
03/02/2012	203	Letter by Ulysses Thomas Ware addressed to Judge Loretta A. Preska from Ulysses Thomas Ware dated 12/13/2011 re: The defendant writes in regard to the above matters, which have not been addressed by this office nor the district clerk's office where payment has been made for the certified copy of the docket report. (ab) (Entered: 03/05/2012)
03/02/2012	204	Letter by Ulysses Thomas Ware addressed to Judge William H. Pauley III from Ulysses Thomas Ware dated 12/16/2011 re: The defendant writes in reference to the pending motions and requests a ruling for the twelfth time. (ab) (Entered: 03/05/2012)
03/13/2012	205	MOTION to Vacate Sentence and Conviction in 1115, and Other Relief. Document filed by Ulysses Thomas Ware. (ab) (Entered: 03/16/2012)
04/17/2012	206	Letter by Ulysses Thomas Ware addressed to Ruby Krajick, Clerk of Court from Ulysses Thomas Ware dated 3/20/2012 re: Pro Se Defendant request for First Amendment and common law access to all records required to be maintained by the Office of the District Court re: F.R.C.Crim. Proc., Rule 55. (pl) (Entered: 04/18/2012)
04/30/2012	207	Letter by Ulysses Thomas Ware addressed to Judge Andrew J. Peck from Ulysses Thomas Ware dated 4/10/12 re: Second request for First and Sixth Amendment access to the above referenced documents, in the possession of the Court, and are considered judicial records, available to the public for inspection. (jw) (Entered: 05/01/2012)
04/30/2012	208	MOTION To correct and vacate erroneous sentence and conviction letter brief Document filed by Ulysses Thomas Ware. (jw) (Entered: 05/01/2012)
04/30/2012	209	Letter by Ulysses Thomas Ware addressed to Judge Loretta A. Preska from Ulysses Thomas Ware dated 4/5/12 re: Mr. Ware is requesting access to all Rule 55 orders entered on Dkt #161, the Rule 33 Motion for a New Trial, the Cursio Motion, the Kordel Motion, the Kastigar Motion, and the Motion to Dismiss, and the Motion for Appointment of a Special Prosecutor (jw) (Entered: 06/01/2012)
07/26/2012	210	SUPPLEMENT #1.0 To Docket ## 1 (Emergency Motion), 5 (Motion to Show Cause), AND 6 (Motion to Show Cause). Document filed by Ulysses Thomas

		Ware, dated 7/7/2012. [*** NOTE: Document has Case # 12-CV-1671(MHS) ***] (bw) (Entered: 07/27/2012)
07/26/2012	211	Letter addressed to Ruby Krajick, Office of the District Court, from Defendant Ulysses Thomas Ware, dated 7/10/2012 re: March 1, 2012 request for First Amendment access to public judicial records with respect to U.S. v. Ware, 05-cr-1115 (WHP)(SDNY), ("1115"). This letter is my fourth request to gain required First Amendment access to public judicial records in 1115, which your office is required to provide. [*** NOTE: Received by Judge Pauley's Chambers on 7/23/2012. ***] (bw) (Entered: 07/30/2012)
09/10/2012		Minute Entry for proceedings held before Judge William H. Pauley, III: Status Conference as to Ulysses Thomas Ware, Jeremy Jones held on 9/10/2012. The Clerk of Court is directed to close all pending motions on this docket. (jbo) (Entered: 09/10/2012)
09/26/2012	212	Letter by Ulysses Thomas Ware addressed to Judge William H. Pauley III from Ulysses Thomas Ware dated 8/12/2012 re: Defendant submits this letter to the Court inquiring about pending motions in 1115 to wit: the Rule 33, Rule 6(e) Rule 29,, Cursio, Fraud on the Court, and Franks motions. (dnd) (Entered: 09/26/2012)
11/16/2012	213	Letter by Ulysses Thomas Ware addressed to Judge William H. Pauley, III from Defendant Ulysses Thomas Ware dated September 14, 2012 re: This letter is to follow up on my previous requests to obtain the requested documents needed in a "pending proceeding" in the courts to wit: Ware v. Hatten, et al., 12-CV-1671 (MHS)(NDGA), ("1671") where you have been named as a respondent. [*** NOTE: Received by Judge Pauley's Chambers on 9/20/2012. ***] (bw) (Entered: 11/16/2012)
11/16/2012	214	Letter addressed to Judge William H. Pauley, III from Defendant Ulysses Thomas Ware dated September 14, 2012 re: This letter is to follow up on my previous requests to obtain the requested documents needed in a "pending proceeding" in the courts to wit: Ware v. Hatten, et al., 12-CV-1671 (MHS) (NDGA), ("1671") where you have been named as a respondent. [*** NOTE: Received by Judge Pauley's Chambers on 9/20/2012. ***] (bw) (Entered: 11/16/2012)
12/20/2012	216	Emergency RULE 33 MOTION for New Trial, Fraud, Fraud on the Court, Kastigar Fraud, Cursio Fraud, Brady, Giglio, and Jencks Act Evidence Suppression, Rule (6)(e) Fraud, Docket Sheet Fraud, Perjury, Bribery, Conspiracy, and Racketeering to Obstruct The Due Adminstration of Justice. EMERGENCY MOTION to Reverse and Vacate The Convictions And Sentence In 1115. Document filed by Ulysses Thomas Ware, dated 12/09/2012 07:23:54 P.M. (bw) (Entered: 12/21/2012)
12/21/2012	215	Letter by Ulysses Thomas Ware addressed to Magistrate Judge Michael H. Dolinger from Defendant dated December 5, 2012 re: U.S. v. Ulysses T. Ware, and Jeremy Jones, 05-CR-1115(WHP)(SDNY), ("1115"). 09/22/2006, Dkt. #24, Rule 11 Plea Transcript and 1115 Docket Sheet Irregularities, Defective Rule 11 Plea, No Factual Basis for Plea, Defendants' Guilt was a legal impossibility, Jones' Rule 11 Plea is null and void ab initio. [*** NOTE:

		Accepted for filing by Judge William H. Pauley, refer to R5D Memo dated 12/13/2012. Sent document to Magistrate Judge Dolinger after docketing on 12/21/2012. ***] (bw) (Entered: 12/21/2012)
01/03/2013	217	Letter by Ulysses Thomas Ware addressed to U.S. Attorney Preet Bharara from Ulysses Thomas Ware dated 1/3/2013 re: Defendant submits this letter as an inquiry in regard to the 12/9/2012 Rule 33 Motion, file in 1115 for the newly discovered evidence suppressed by the un-indicted co-conspirators since 9/22/2006... (dnd) (Entered: 01/10/2013)
01/31/2013	218	Letter addressed to Judge William H. Pauley, III from Defendant (05-Cr-1115-01) Ulysses Thomas Ware dated January 25, 2013 re: This Letter brief is in regard to the Motion referenced above filed in the Court on 12/09/2012. In particular I will address your fraud on the court in colluding, conspiring, and racketeering with the Unindicted Co-conspirators of the Department of Justice, (the "DOJ"), the defendant (Jeremy Jones), his lawyer Marlon Kirton, and magistrate judge Dolinger in regard to accepting the 09/22/2006 fabricated, (Dkt. #20, 24) Rule 11 plea of Jones lacking a factual basis, as a matter of law, to accept the Rule 11 plea; and your acceptance of the fabricated Rule 11 plea, (Dkt. #23), also lacking a factual basis to accept the plea. (bw) (Entered: 02/05/2013)
04/17/2013	219	Supplement #2.2 to Rule 33, Rule 6 (e), Fraud on the Court's Motions Pending by Ulysses Thomas Ware as to Ulysses Thomas Ware, Jeremy Jones. (dnd) (Entered: 04/17/2013)
08/13/2013	220	Letter by Ulysses Thomas Ware addressed to Judge Michael H. Dolinger from Ulysses Thomas Ware dated 7/31/2013 re: This letter of inquiry is to obtain a copy of the court's report and recommendation, prepared for recommendation that District Judge William H. Pauley, III accept. (dnd) (Entered: 08/13/2013)
10/01/2013	221	LETTER addressed to Clerk of Court from Ulysses Thomas Ware dated 9/25/2013 re: Defendant submits this letter stating it his fourth letter of inquiry concerning his Rule 33 emergency motion to dismiss the indictment. Document filed by Ulysses Thomas Ware. (dnd) (Entered: 10/01/2013)
11/27/2013	<u>222</u>	ORDER as to Ulysses Thomas Ware. The Court received the attached letter dated November 12, 2013. This action has been terminated and in the absence of any pending proceeding, these documents will be docketed and no further actions will be taken. In addition, since his conviction in this case, Ware has sent almost one hundred pro se letters and motions-all equally without merit. In prior Orders, this Court has directed Ware to cease and desist his frivolous letter writing campaign. Ware has not heeded those Orders. Accordingly, the Clerk of Court is directed to close all pending motions in this case and not open any new motions absent an Order of this Court. The Clerk of Court is further directed to return all future correspondence from Ware. Ware is again directed to cease corresponding with the Court. (Signed by Judge William H. Pauley, III on 11/26/2013)(jw) (Entered: 11/27/2013)
05/13/2014		Letter. as to Ulysses Thomas Ware. (Return to Sender, Not Deliverable as addressed, Unable to forward. (js) (Entered: 05/13/2014)

05/21/2014		LETTER by Ulysses Thomas Ware addressed to Judge Loretta A. Preska from Ulysses T. Ware dated 5/13/2014 re: Letter. as to Ulysses Thomas Ware. (Return to Sender, as per order issued by Judge Pauley dated 11/27/2013 docket entry # 222 (dnd) (Entered: 05/21/2014)
08/15/2014	223	NOTICE OF APPEAL by Ulysses Thomas Ware from 222 Order. (tp) (Entered: 08/25/2014)
08/25/2014		Transmission of Notice of Appeal and Certified Copy of Docket Sheet as to Ulysses Thomas Ware to US Court of Appeals re: 223 Notice of Appeal. (tp) (Entered: 08/25/2014)
08/25/2014		Appeal Record Sent to USCA (Electronic File). Certified Indexed record on Appeal Electronic Files (<i>ONLY</i>) as to Ulysses Thomas Ware re: 223 Notice of Appeal - Final Judgment were transmitted to the U.S. Court of Appeals. (tp) (Entered: 08/25/2014)
09/19/2014	224	AMENDED NOTICE OF APPEAL by Ulysses Thomas Ware re: 223 Notice of Appeal; from 222 Order as further described herein. (tp) (Entered: 09/24/2014)
09/24/2014		Transmission of Notice of Appeal and Certified Copy of Docket Sheet as to Ulysses Thomas Ware to US Court of Appeals re: 224 Amended Notice of Appeal.(tp) (Entered: 09/24/2014)
09/24/2014		First Supplemental ROA Sent to USCA (Electronic File). Certified Supplemental Indexed record on Appeal Electronic Files as to Ulysses Thomas Ware re: 224 Notice of Appeal - Final Judgment were transmitted to the U.S. Court of Appeals. (tp) (Entered: 09/24/2014)
01/12/2015	225	MANDATE of USCA (certified copy) as to Ulysses Thomas Ware re: 224 Amended Notice of Appeal - Final Judgment, 223 Notice of Appeal - Final Judgment, USCA Case Number 14-3402. In November 2010, this Court entered a leave-to-file sanction against Appellant Ulysses Thomas Ware. See United States v. Ware, 2d Cir. 07-5222 (L), 07-5670 (Con). Appellant now moves for leave to file an appeal, leave to file an oversized brief, and in forma pauperis status in the above-captioned case. Upon due consideration, it is hereby ORDERED that the motions are DENIED because this appeal does not represent a departure from Appellant's pattern of vexatious litigation. See In re Martin-Trigona, 9 F.3d 226, 229 (2d Cir. 1993). Catherine O'Hagan Wolfe, Clerk USCA for the Second Circuit, Clerk USCA. Issued As Mandate: 01/12/2015. (nd) (Entered: 01/12/2015)
04/19/2016	227	MOTION to Vacate Dkt. #96 (10/30/2007) Judgment of Conviction and Sentence. Document filed by (05-Cr-1115-01) Ulysses Thomas Ware. (bw) (Entered: 04/20/2016)
08/16/2016	228	LETTER by Ulysses Thomas Ware addressed to Judge William H. Pauley, III from Ulysses T. Ware, Esq. dated 8/6/2016 re: Enclosed is the Prevailing Party's Rule 70(e)/42(a) civil/criminal contempt motion. (ft) (Entered: 08/16/2016)
11/29/2016	229	

		LETTER addressed to Judge William H. Pauley, III from Defendant (05-Cr-1115-01) Ulysses Thomas Ware dated 11/10/2016 re: Enclosed are four (4) documents for filing, docketing, and immediate adjudication in 1115, to wit: #1. 11/10/2016 Rule 42(a) criminal complaint; #2. Clear and Convincing Evidence; #3. Exhibit #2: Declaration of Undisputed Material Facts; #4. Exhibit #3: 08/06/2016 Obstructed Rule 42(a) criminal complaint. Also enclosed is a duplicate cover for date stamping and returning in the enclosed SASE. Judge Pauley given that you are named as the lead respondent/belligerent/unindicted coconspirator in your personal, individual, and official capacities palpably you are judicially disqualified from any and all judicial involvement in this matter. Accordingly, I am requesting that you immediately upon receipt forward these judicial pleadings to the Office of the District Clerk for processing according to the Federal Rules of Criminal Procedure Rule 42(a). A show cause date of 11/21/2016 is requested given the magnitude of your crimes to enable you and your coconspirators to show cause each shall not be criminally prosecuted. Judge Pauley if you have any questions in regard to the contents of this document please contact me at the above address. (bw) (Entered: 12/07/2016)
12/06/2016	230	MOTION to Dismiss Indictment/Rule 42(a) Criminal Complaint. Document filed by Ulysses Thomas Ware. (ft) (Entered: 12/13/2016)
12/06/2016	231	LETTER by Ulysses Thomas Ware addressed to Judge William H. Pauley, III from Ulysses T. Ware, Esq. dated 11/21/2016 re: Criminal complaint status. (ft) (Entered: 12/13/2016)
02/01/2017	232	LETTER by (05-Cr-1115-01) Ulysses Thomas Ware's dated 01/13/2017 re: Ulysses T. Ware's Declaration of Exhaustion of all Procedural Remedies. (bw) (Entered: 02/01/2017)
02/22/2017	233	Ulysses T. Ware's Declaration of Undisputed Material Facts (01/21/2017). Declaration of Probable Cause to Indict/Arrest/Prosecute BOP Employees and Others for 18 USC Sections 401(3)/1509. Document filed by (05-Cr-1115-01) Ulysses Thomas Ware. Dated: January 21, 2017. [*** NOTE: Received for docketing from Judge Pauley's Chambers. ***] (bw) (Entered: 02/22/2017)
03/27/2017	234	LETTER by (05-Cr-1115-01) Ulysses Thomas Ware addressed to Judge William H. Pauley, III, dated March 19, 2017 re: Emergency Rule 42(a)(1) criminal contempt petition, Supp. #1 (Attachments: # 1 Exhibits) [*** NOTE: Received in Chambers on 3/27/2017. ***] (bw) (Entered: 04/05/2017)
04/25/2017	236	LETTER by Ulysses Thomas Ware from Ulysses T. Ware, Esq. dated 4/18/2017 re: Supp. #2.0 to Art. III {constitutional) and 18 USC §3231 (statutory) challenge to the District Court's subject matter jurisdiction given the OSG's November 7, 2008 dismissal with prejudice of 07-5670-Cr (XAP), Gov.-I. (ft) (Entered: 04/26/2017)
04/26/2017	235	LETTER by Ulysses Thomas Ware addressed to Judge William H. Pauley, III from Ulysses T. Ware, Esq. dated 4/15/2017 re: 18 USC §§401(3), 1509, and §1503 deliberate, willful, and bad faith obstruction of court orders, Dkt. #17, May 19, 2006 (Pauley, J.) discovery order, and August 18, 2009 U.S. v. Ware, 07-5670-Cr (XAP) (2d Cir.), Gov.-I superseding final judgment, (the "Gov.-I Final Judgment"). (ft) (Entered: 04/26/2017)

04/28/2017	237	DECLARATION OF PROBABLE CAUSE AND ARREST by Ulysses Thomas Ware. (ft) Modified on 5/9/2017 (ft). (Entered: 05/08/2017)
05/08/2017	238	LETTER by Ulysses Thomas Ware addressed to Jefferson B. Sessions from Ulysses Thomas Ware dated 5/1/2017 re: Uncontested Cases. (ft) (Entered: 05/11/2017)
06/19/2017	239	MOTION pursuant to 28 USC Sections 455(a) and (b) to Disqualify William H. Pauley, III for judicial bribery, and Motion to Dismiss Indictment and Motion to Disqualify the Entire United States Attorneys Office (SDNY) for Fraud. Document filed by (05-Cr-1115-01) Ulysses Thomas Ware. Dated: June 8, 2017. (Attachments: # 1 Cover Letter)(bw) (Entered: 06/20/2017)
06/19/2017	240	Petitioner's Supplement #3.0 to his Fraud on the Court Pending Motion(s) Article III Subject Matter Jurisdictional Challenge. Document filed by (05-Cr-1115-01) Ulysses Thomas Ware. Dated: June 12, 2017. (Attachments: # 1 Cover Letter)(bw) (Entered: 06/20/2017)
07/10/2017	241	Rule 16(A)(1)(E) Discovery Motion. Document filed by Ulysses Thomas Ware. (ft) Modified on 7/12/2017 (ft). (Entered: 07/12/2017)
07/14/2017	242	DISCOVERY MOTION. Petitioner's Supplemental Brady, Giglio, Jencks Act, and Rule 16 Discovery Motion for William H. Pauley, III and the Department of Justice and its proxies, surrogates and agents, and alter-egos to disclose pursuant to 28 USC Section 455(a), the Codes of Conduct for Federal Judges, and the Due Process Clause a certificate of all actual biases, prejudices, conflicts of interests, and/or appearances of bias, prejudice, conflicts of interest which would have the potential to having made fair judgment impossible for Pauley in the 05-cr-1115 proceedings. Document filed by (05-Cr-1115-01) Ulysses Thomas Ware. (bw) (Entered: 07/17/2017)
08/04/2017	243	LETTER by (05-Cr-1115-01) Ulysses Thomas Ware dated 7/28/2017 re: Last & Final Brady Demand. Brady disclosure of Jeremy Jones Medical Records (bw) (Entered: 08/07/2017)
11/03/2017	244	LETTER by Ulysses Thomas Ware addressed to Judge William H. Pauley, III from Ulysses T. Ware, Esq. dated 10/26/2017 re: Rule 42(a) (1) criminal/civil contempt legal jeopardy of William H. Pauley, III. (ft) (Entered: 11/03/2017)
11/03/2017	245	DECLARATION of Ulysses T. Ware, Esq. by Ulysses Thomas Ware. (ft) (Entered: 11/20/2017)
12/04/2017	246	MOTION: Fed. R. Crim. P. 42(a)(1) Criminal/Civil Contempt Motions. RE: May 19, 2006, Dkt. #17 Brady Discovery Order Compliance. Document filed by (05-Cr-1115-01) Ulysses Thomas Ware. (bw) (Entered: 12/04/2017)
12/13/2017	247	LETTER by Ulysses Thomas Ware addressed to Judge William H. Pauley, III from Ulysses T. Ware, Esq. dated 12/4/2017 re: Pending Rule 42(a)(1) criminal contempt of Brady Order, Dkt. #17, Tr. 5-10. (ft) (Entered: 12/13/2017)
06/27/2018	248	LETTER by Ulysses Thomas Ware addressed to Ms. Krajick from Ulysses T. Ware dated 6/12/18 re: Letter from Ulysses. T. Ware, Esq (jw) (Entered: 07/02/2018)

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